

# AN EXTENSION OF A RESULT OF RIVLIN ON WALSH EQUICONVERGENCE (FABER NODES)

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*Dedicated to Walter Gautschi on the occasion of his 65th birthday*

**Abstract.** We continue our investigations of generalizations of Walsh's equiconvergence theorem. The setting is a compact set  $E$  of the complex plane, whose complement is simply connected in the extended complex plane, and the Faber polynomials associated with  $E$ . Here, we study equiconvergence phenomena for differences of interpolating polynomials, defined by Lagrange (and Hermite) interpolants in zeros of associated Faber polynomials.

## 1 INTRODUCTION

We begin with the well-known Walsh equiconvergence theorem [10, p. 153]. With  $D_\tau := \{z \in \mathbb{C} : |z| < \tau\}$  and with any  $R$  satisfying  $1 < R < \infty$ , let  $A_R$  denote the set of all functions  $f$  which are analytic in  $D_R$ , but not in  $\bar{D}_R$ . Then, the Walsh equiconvergence theorem, simply stated, asserts that if  $f(z) = \sum_{k=0}^\infty a_k z^k$  is in  $A_R$ , then

$$\lim_{n \rightarrow \infty} [L_n(z; f) - S_n(z; f)] = 0, \quad z \in D_{R^2}, \quad (1.1)$$

the convergence being uniform and geometric on every disk  $\bar{D}_\mu$  with  $\mu < R^2$ , where  $L_n(z; f)$  denotes the Lagrange interpolant to  $f$  in the  $(n+1)^{st}$  roots of unity and where  $S_n(z; f) := \sum_{k=0}^n a_k z^k$ . (Since the convergence to zero in (1.1) takes place in the domain  $D_{R^2}$  which is *larger* than the domain  $D_R$  of analyticity of  $f$ , the result of (1.1) is said to exhibit *overconvergence*.) Rivlin [9] extended (1.1) by replacing  $L_n(z; f)$  by polynomials  $P_{m,n}(z; f)$  which best approximate  $f$ , in the  $\ell_2$ -sense over all polynomials of degree  $n$ , in the  $(m+1)^{st}$  roots of unity, where, for a fixed positive integer  $q$  (i.e.,  $q \in \mathbb{N}$ ),  $m := q(n+1) - 1$  for all  $n \in \mathbb{N}$ . He showed that

$$\lim_{n \rightarrow \infty} [P_{m,n}(z; f) - S_n(z; f)] = 0, \quad z \in D_{R^{1+q}}, \quad (1.2)$$

the convergence being uniform and geometric on every disk  $\bar{D}_\mu$  with  $\mu < R^{1+q}$ .

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In a recent paper [2], we studied the problem of Walsh equiconvergence for domains more general than disks. More precisely, if  $\mathbb{C}_\infty$  denotes the extended complex plane, let  $E$  be a compact subset (not a point) of the complex plane whose complement,  $\mathbb{C}_\infty \setminus E$ , is simply connected. By the Riemann mapping theorem, there exists a conformal map  $\psi$  of  $\{w \in \mathbb{C} : |w| > 1\}$  onto  $\mathbb{C}_\infty \setminus E$ , where the mapping is normalized at infinity by  $\psi(\infty) = \infty$  and  $c := \psi'(\infty) > 0$ . (The quantity  $c$  is called the *capacity* of  $E$ .) For  $1 < R < \infty$ , let  $C_R := \{z = \psi(w) : |w| = R\}$  be an outer level curve of  $E$ , and let  $A_R$  now denote the class of functions which are analytic in  $G_R := \text{Int}C_R$ , but not in  $\bar{G}_R$ . If  $F_k$  denotes the  $k^{\text{th}}$  Faber polynomial associated with  $E$  and if

$$f(z) = \sum_{k=0}^{\infty} a_k F_k(z) \quad (1.3)$$

is the Faber expansion of  $f$  with respect to  $E$ , we set

$$S_n(z; f) := \sum_{k=0}^n a_k F_k(z). \quad (1.4)$$

As in [2], if we assume that the boundary  $\partial E$  of  $E$  is a Jordan curve, then the conformal map  $\psi$  can be extended to a homeomorphism of  $\{w \in \mathbb{C} : |w| \geq 1\}$  onto  $\mathbb{C} \setminus \text{Int}E$ , so that we may define, for each  $m \in \mathbb{N}$ , the  $m+1$  points  $\{z_{k,m}\}_{k=0}^m$ , where

$$z_{k,m} := \psi(w_{k,m}), \quad w_{k,m} := \exp\left(\frac{2\pi i k}{m+1}\right), \quad k = 0, \dots, m. \quad (1.5)$$

The points  $z_{k,m}$  are called the  $(m+1)^{\text{st}}$  Fejér nodes with respect to  $E$ . Following Pommerenke [8], we call  $\partial E$  an  $r_0$ -analytic curve ( $0 \leq r_0 < 1$ ) if the conformal map  $\psi$  admits a univalent continuation to  $\{w \in \mathbb{C} : |w| > r_0\}$ . For  $f \in A_R$ , let (1.3) be the Faber expansion of  $f$  with respect to  $E$ , and let  $L_m(z; f)$  denote the Lagrange interpolant of  $f$  in the Fejér nodes (1.5). If  $L_m(z; f) = \sum_{k=0}^m b_k F_k(z)$  is the Faber expansion of  $L_m(z; f)$ , set

$$S_n(z; L_m(\cdot; f)) := \sum_{k=0}^n b_k F_k(z). \quad (1.6)$$

Our extension in [2] of Rivlin's theorem [9] studied the region of equiconvergence of the difference  $S_n(z; L_m(\cdot; f)) - \sum_{k=0}^n a_k F_k(z)$ .

If we set

$$S_{m,n,j}(z; f) := \sum_{k=0}^n a_{k+j(m+1)} F_k(z), \quad j \in \mathbb{N}_0, \quad (1.7)$$

then for any integer  $\ell \in \mathbb{N}$ , we considered the difference

$$\Delta_{m,n,\ell}(z; f) := S_n(z; L_m(\cdot; f)) - \sum_{j=0}^{\ell-1} S_{m,n,j}(z; f). \quad (1.8)$$

The following theorem was proved in [2].

**Theorem A** [2] *Let  $\partial E$  be an  $r_0$ -analytic curve for some  $r_0 \in [0, 1)$ , let  $f \in A_R$ , let  $m = q(n+1) - 1$  for a fixed  $q \in \mathbb{N}$ , and let  $\Delta_{m,n,\ell}(z; f)$  be given by (1.8). Then,*

$$\lim_{n \rightarrow \infty} \Delta_{m,n,\ell}(z; f) = 0, \quad z \in G_\lambda, \quad (1.9)$$

*the convergence being uniform and geometric on every subset  $\bar{G}_\mu$  for  $1 \leq \mu < \lambda$ , where*

$$\lambda := \min\{R^{1+\ell q}; R/r_0^q; R^q/r_0^{q-1}\}, \quad (1.10)$$

*with  $0^k := 0$  for any nonnegative integer  $k$  and  $1/0 := \infty$ .*

**Remark.** From (1.10), we see that if  $q = 1$  and if  $0 < r_0 < 1$ , then  $\lambda = R$ , and also that  $\lambda \rightarrow R$  for arbitrary  $q$  as  $r_0 \rightarrow 1$ . Thus, Theorem A gives no overconvergence in these cases. Indeed, the first author has shown in [1] that there is no overconvergence in the case  $q = \ell = 1$  and  $r_0 > 0$ .

In the special case of  $E = D_1$  where  $r_0 = 0$ , (1.10) reduces to  $\lambda = R^{1+\ell q}$ . For  $\ell = 1$ , this gives the result of Rivlin [9, Theorem 1] and for  $q = 1$ , this gives a result of Cavaretta, Sharma and Varga [3, Theorem 1]. If  $q \geq \ell + 1$  and  $r_0 \leq \frac{1}{R^\ell}$ , then (1.10) gives  $\lambda = R^{1+\ell q}$ , which means that we have the same  $\lambda$  as in the case when  $E$  is chosen to be the closed unit disk  $\bar{D}_1$  (where  $r_0 = 0$ ).

The object of this paper is to investigate the situation where the nodes of the Lagrange interpolant  $L_m(z; f)$  are the zeros of the Faber polynomial  $F_{m+1}(z)$ , rather than the Fejér nodes of (1.5). In §2, we list some properties of Faber polynomials and state Theorem 1 and outline its proof. Section 3 deals with operators analogous to those of Theorem A, but based on Hermite interpolation using Fejér nodes and Faber nodes, respectively. It consists of a statement of Theorem B (which was given without proof in [2]) and the statement and proof of its analogue using Faber nodes. In §4, we give Theorem C (given without proof in [2]) and prove an analogous result (Theorem 3) using Faber nodes. Sections 5 and 6 are devoted to the proofs of Theorems B and C, respectively.

## 2 FABER NODES

In this section, we establish an analogue of Theorem A, where we replace the  $m+1$  Fejér nodes of (1.5) by the  $m+1$  zeros of the  $(m+1)^{st}$  Faber polynomial with respect to  $E$ . We call these zeros *Faber nodes*. It is well known [5, p. 584], for an arbitrary compact set  $E$  (not a point) for which  $\mathbb{C}_\infty \setminus E$  is simply connected, that the associated Faber polynomials  $\{F_n(z)\}_{n \geq 0}$  satisfy

$$\lim_{n \rightarrow \infty} |F_n(\psi(w))|^{1/n} = |w|, \quad |w| > 1, \quad (2.1)$$

uniformly on every closed subset of  $\{w \in \mathbb{C} : |w| > 1\}$ . For any fixed  $R > 1$ , (2.1) implies that the zeros of  $F_n(z)$  all lie in  $G_R$  for any  $n$  sufficiently large, which

further implies that all accumulation points of the zeros of  $\{F_n(z)\}_{n \geq 1}$  must lie in  $E$ . Thus,  $L_n^*(z; f)$ , defined to be the Lagrange interpolant of  $f$  in the zeros of the Faber polynomial  $F_{n+1}(z)$ , is then well defined for all large  $n$ . If  $\partial E$  is  $r_0$ -analytic, then (2.1) holds for  $|w| > r_0$  and thus, the Faber nodes all lie in the interior of  $E$  for every  $n$  sufficiently large. It is known [7] that if  $E$  is convex, but not a line segment, then all Faber nodes lie in the interior of  $E$ . In the case when  $E$  is the line segment  $[-1, 1]$ , it is well known that the Faber polynomials for  $E$  coincide with the classical Chebyshev polynomials of the first kind.

Let  $L_m^*(z; f)$  denote the Lagrange interpolant to  $f \in A_R$  in the  $m+1$  Faber nodes, i.e., the zeros of the Faber polynomial  $F_{m+1}(z)$  of degree  $m+1$ . Set

$$\Delta_{m,n,\ell}^*(z; f) := S_n(z; L_m^*(\cdot; f)) - \sum_{j=0}^{\ell-1} S_{m,n,j}(z; f), \quad \ell \in \mathbb{N}, \quad (2.2)$$

where  $S_{m,n,j}(z; f)$  is given by (1.7) and where  $S_n(z; L_m^*(\cdot; f))$  is the Faber expansion of  $L_m^*(z; f)$  up to degree  $n$ . We now establish:

**Theorem 1** *Let  $f \in A_R$ , let  $m = q(n+1) - 1$  for a fixed  $q \in \mathbb{N}$ , and let  $\Delta_{m,n,\ell}^*(z; f)$  be given by (2.2), where  $L_m^*(z; f)$  is the Lagrange interpolant of  $f$  in the Faber nodes (i.e., the zeros of  $F_{m+1}(z)$ ) with respect to a compact set  $E$ . Then,*

$$\lim_{n \rightarrow \infty} \Delta_{m,n,\ell}^*(z; f) = 0, \quad z \in G_{R^q}, \quad (2.3)$$

the convergence being uniform and geometric on every subset  $\bar{G}_\mu$  for  $1 \leq \mu < R^q$ .

**Proof:** We proceed along the lines of the proof of Theorem A of [2]. From the well-known Hermite interpolation formula, we have, for any  $r' \in (1, R)$  and any  $z \in \mathbb{C}$ , that

$$L_m^*(z; f) = \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \frac{\psi'(\zeta)}{\psi(\zeta) - z} \cdot \frac{w_m(\psi(\zeta)) - w_m(z)}{w_m(\psi(\zeta))} d\zeta, \quad (2.4)$$

where  $w_m(z) := c^{m+1} F_{m+1}(z)$ . From [5, eq. (5.2)], the Faber coefficients  $a_k$  of  $f$  have the integral representation of

$$a_k = \frac{1}{2\pi i} \int_{|\zeta|=r'} \frac{f(\psi(\zeta))}{\zeta^{k+1}} d\zeta, \quad k = 0, 1, 2, \dots \quad (2.5)$$

Then, from (1.4) and (2.5), we have

$$S_n(z; f) = \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \sum_{k=0}^n \frac{F_k(z)}{\zeta^{k+1}} d\zeta, \quad z \in \mathbb{C}. \quad (2.6)$$

From (2.4) and (2.6), we obtain

$$\begin{aligned} & S_n(z; L_m^*(\cdot; f)) \\ &= \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \left( \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} \cdot \frac{w_m(\psi(\zeta)) - w_m(\psi(t))}{w_m(\psi(\zeta))} \sum_{k=0}^n \frac{F_k(z)}{t^{k+1}} dt \right) d\zeta, \end{aligned} \quad (2.7)$$

where we choose  $r$  and  $r'$  such that  $1 < r < r' < R$ . Furthermore, from (1.7) and (2.5) we easily derive that

$$\sum_{j=0}^{\ell-1} S_{m,n,j}(z; f) = \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \frac{\zeta^{\ell(m+1)} - 1}{\zeta^{(\ell-1)(m+1)}(\zeta^{m+1} - 1)} \sum_{k=0}^n \frac{F_k(z)}{\zeta^{k+1}} d\zeta, \quad z \in \mathbb{C}. \quad (2.8)$$

But, as a consequence of the residue theorem (applied in  $|t| > r$ ), it follows that

$$\frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} \frac{dt}{t} = \frac{1}{\zeta}, \quad |\zeta| > r,$$

which allows us to express (2.8) as

$$\begin{aligned} \sum_{j=0}^{\ell-1} S_{m,n,j}(z; f) &= \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \times \\ &\left( \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} \cdot \frac{\zeta}{t} \cdot \frac{\zeta^{\ell(m+1)} - 1}{\zeta^{(\ell-1)(m+1)}(\zeta^{m+1} - 1)} \sum_{k=0}^n \frac{F_k(z)}{\zeta^{k+1}} dt \right) d\zeta. \end{aligned} \quad (2.9)$$

From (2.7) and (2.9), we thus have an integral representation for  $\Delta_{m,n,\ell}^*(z; f)$  of (2.2) as the difference of two double integrals.

For the Faber polynomials  $F_{n+1}$ , it is known from [5, eq. (2.7)] that  $F_0(z) = 1$  and

$$F_n(\psi(w)) = w^n + \sum_{\nu=1}^{\infty} \alpha_{n,\nu} w^{-\nu}, \quad |w| > 1, \quad n \in \mathbb{N}, \quad (2.10)$$

uniformly on closed subsets of  $\{w \in \mathbb{C} : |w| > 1\}$ , where, from [5, eq. (4.9)],

$$|\alpha_{n,k}| \leq \sqrt{\frac{n}{k}}, \quad n, k \in \mathbb{N}. \quad (2.11)$$

From (2.11), it readily follows that

$$\left| \sum_{\nu=1}^{\infty} \alpha_{n,\nu} w^{-\nu} \right| \leq \frac{\sqrt{n}}{|w| - 1}, \quad |w| > 1, \quad n \in \mathbb{N}. \quad (2.12)$$

Next, as previously, we set

$$w_n(z) := c^{n+1} F_{n+1}(z).$$

From (2.10) and (2.12), it is easy to deduce that, for any  $t$  and  $\zeta$  with  $1 < |t| < |\zeta|$ ,

$$\frac{w_m(\psi(\zeta)) - w_m(\psi(t))}{w_m(\psi(\zeta))} = \frac{\zeta^{m+1} - t^{m+1}}{\zeta^{m+1}} \left( 1 + O(1) \frac{\sqrt{m}}{|\zeta|^m} \right), \quad m \rightarrow \infty, \quad (2.13)$$

uniformly on closed subsets of  $\{\zeta \in \mathbb{C} : |\zeta| > 1\} \times \{t \in \mathbb{C} : |t| > 1\}$ . Choosing  $\rho$  such that  $1 < \rho < r < r' < R$  and using (2.7), (2.9), (2.10) and (2.13), we obtain (with  $z = \psi(w)$ )

$$\begin{aligned} \Delta_{m,n,\ell}^*(z; f) &:= \sum_{j=1}^4 \Delta_{m,n,\ell}^{*(j)}(z; f) \\ &= \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \left( \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} \sum_{j=1}^4 K_{m,n,\ell}^{(j)}(w, \zeta, t) dt \right) d\zeta, \end{aligned}$$

where

$$\begin{aligned} K_{m,n,\ell}^{(1)}(w, \zeta, t) &:= \frac{\zeta^{m+1} - t^{m+1}}{\zeta^{m+1}} \left( 1 + O\left(\frac{1}{\rho^m}\right) \right) \sum_{k=1}^n \sum_{\nu=1}^{\infty} \alpha_{k,\nu} w^{-\nu} t^{-k-1} \\ &\quad - \frac{\zeta}{t} \cdot \frac{\zeta^{\ell(m+1)} - 1}{\zeta^{(\ell-1)(m+1)} (\zeta^{m+1} - 1)} \sum_{k=1}^n \sum_{\nu=1}^{\infty} \alpha_{k,\nu} w^{-\nu} \zeta^{-k-1}, \end{aligned} \quad (2.14)$$

$$K_{m,n,\ell}^{(2)}(w, \zeta, t) := \frac{t^{n+1} - w^{n+1}}{(t-w)t^{n+1}} - \frac{\zeta}{t} \cdot \frac{\zeta^{n+1} - w^{n+1}}{(\zeta-w)\zeta^{n+1}} \cdot \frac{\zeta^{\ell(m+1)} - 1}{\zeta^{(\ell-1)(m+1)} (\zeta^{m+1} - 1)}, \quad (2.15)$$

$$K_{m,n,\ell}^{(3)}(w, \zeta, t) := O\left(\frac{1}{\rho^m}\right) \frac{\zeta^{m+1} - t^{m+1}}{\zeta^{m+1}} \cdot \frac{t^{n+1} - w^{n+1}}{(t-w)t^{n+1}}, \quad (2.16)$$

and

$$K_{m,n,\ell}^{(4)}(w, \zeta, t) := -\frac{t^{m+1}}{\zeta^{m+1}} \cdot \frac{t^{n+1} - w^{n+1}}{(t-w)t^{n+1}}. \quad (2.17)$$

(i) Because  $1 < |t| < |\zeta|$ , then letting  $n$  tend to infinity gives

$$\begin{aligned} K_1(w, \zeta, t) &:= \lim_{n \rightarrow \infty} K_{m,n,\ell}^{(1)}(w, \zeta, t) \\ &= \sum_{k=1}^{\infty} \sum_{\nu=1}^{\infty} \alpha_{k,\nu} w^{-\nu} t^{-k-1} - \frac{\zeta}{t} \sum_{k=1}^{\infty} \sum_{\nu=1}^{\infty} \alpha_{k,\nu} w^{-\nu} \zeta^{-k-1}, \end{aligned}$$

where the two double series on the right side are convergent, uniformly on closed subsets of  $\{w \in \mathbb{C} : |w| > 1\} \times \{t \in \mathbb{C} : |t| > 1\}$ . The residue theorem now implies that

$$\frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_1(w, \zeta, t) dt = 0,$$

for all  $\zeta \in \mathbb{C}$  with  $|\zeta| > r > 1$ . Thus,  $\lim_{n \rightarrow \infty} \Delta_{m,n,\ell}^{*(1)}(z; f) = 0$ , locally uniformly on  $\mathbb{C}$ .

(ii) Again using the residue theorem, we see that for  $|w| > r'$ ,

$$\begin{aligned} & \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{m,n,\ell}^{(2)}(w, \zeta, t) dt = K_{m,n,\ell}^{(2)}(w, \zeta, \zeta) \\ &= \frac{\zeta^{n+1} - w^{n+1}}{(\zeta - w)\zeta^{n+1}} \cdot \frac{1 - \zeta^{(\ell-1)(m+1)}}{\zeta^{(\ell-1)(m+1)}(\zeta^{m+1} - 1)} = \begin{cases} 0, & \ell = 1, \\ O(1) \left(\frac{|w|}{(r')^{1+q}}\right)^n, & \ell \geq 2. \end{cases} \end{aligned}$$

But, as  $r'$  is any number satisfying  $1 < r' < R$ , it follows that  $\lim_{n \rightarrow \infty} \Delta_{m,n,\ell}^{*(2)}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < R^{1+q}$  when  $\ell \geq 2$ .

(iii) Also, it is obvious from the expression for  $K_{m,n,\ell}^{(3)}(w, \zeta, t)$  that for  $|w| > r'$ ,

$$K_{m,n,\ell}^{(3)}(w, \zeta, t) = O(1) \left(\frac{|w|}{r\rho^q}\right)^n,$$

so that  $\lim_{n \rightarrow \infty} \Delta_{m,n,\ell}^{*(3)}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for  $1 \leq \mu < R^{1+q}$ .

(iv) Finally, in order to estimate  $\Delta_{m,n,\ell}^{*(4)}$ , consider

$$F_{m,n}(w, \zeta) := \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{m,n,\ell}^{(4)}(w, \zeta, t) dt.$$

Since, from [5, eq. (2.9)],

$$\frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} = \frac{1}{\zeta - t} + \sum_{\nu=1}^{\infty} \sum_{k=1}^{\infty} \alpha_{\nu,k} t^{-k} \zeta^{-\nu-1} \quad (2.18)$$

holds uniformly on closed subsets of  $\{t \in \mathbb{C} : |t| > 1\} \times \{\zeta \in \mathbb{C} : |\zeta| > 1\}$ , where the coefficients  $\alpha_{\nu,k}$  are defined by (2.10), it follows from (2.17) that

$$\begin{aligned} & F_{m,n}(w, \zeta) \\ &= -\frac{1}{2\pi i} \int_{|t|=r} \frac{1}{\zeta^{m+2}} \left\{ \sum_{k=0}^{\infty} \sum_{j=0}^n \zeta^{-k} w^j t^{k+m-j} + \sum_{\nu=1}^{\infty} \sum_{k=1}^{\infty} \sum_{j=0}^n \alpha_{\nu,k} \zeta^{-\nu} w^j t^{m-k-j} \right\} dt. \end{aligned}$$

Since  $k + m - j = k + q(n + 1) - 1 - j \geq 0$ , the integral of the double sum above vanishes and the triple sum gives a contribution to the integral only when  $k = m + 1 - j$ , so that

$$F_{m,n}(w, \zeta) = -\frac{1}{\zeta^{m+2}} \sum_{\nu=1}^{\infty} \sum_{j=0}^n \alpha_{\nu, m+1-j} w^j \zeta^{-\nu}. \quad (2.19)$$

Again using (2.11), we obtain

$$\begin{aligned} F_{m,n}(w, \zeta) &= O(1) \frac{1}{(r')^m} \sum_{\nu=1}^{\infty} \sum_{j=0}^n \sqrt{\frac{\nu}{m+1-j}} |w|^j (r')^{-\nu} \\ &= O(1) \frac{1}{(r')^m} \sum_{\nu=1}^{\infty} \frac{\sqrt{\nu}}{(r')^{\nu}} \sum_{j=0}^n |w|^j = O(1) \left( \frac{|w|}{(r')^q} \right)^n, \end{aligned}$$

which implies that  $\lim_{n \rightarrow \infty} \Delta_{m,n,\ell}^{*(4)}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < R^q$ . On combining the above results of (i)-(iv), we have the desired result of (2.3) of Theorem 1.  $\blacksquare$

**Remarks.** (1) We do not know if  $\lambda = R^q$  is best possible in (2.3). However, we can improve our result if  $\partial E$  is  $r_0$ -analytic. In this case, we have from [5, eq. (4.2)] that  $\alpha_{n,k} = O(\beta^{n+k})$ , for every  $\beta$  such that  $r_0 < \beta < 1$ . Then (2.12) can be improved by the bound

$$\left| \sum_{\nu=1}^{\infty} \alpha_{n,\nu} w^{-\nu} \right| = O(\beta^n), \quad |w| > \beta, \quad n \in \mathbb{N}, \quad (2.20)$$

which leads, for  $\beta < |t| < |\zeta|$ , to

$$\frac{w_m(\psi(\zeta)) - w_m(\psi(t))}{w_m(\psi(\zeta))} = \frac{\zeta^{m+1} - t^{m+1}}{\zeta^{m+1}} \left( 1 + O\left( \left( \frac{\beta}{|\zeta|} \right)^m \right) \right), \quad m \rightarrow \infty. \quad (2.21)$$

An examination of the proof of Theorem 1 then shows that the estimates of  $\Delta_{m,n,\ell}^{*(1)}(z; f)$  and  $\Delta_{m,n,\ell}^{*(2)}(z; f)$  remain unchanged. But from (2.16) we now have, because of (2.21), that

$$K_{m,n,\ell}^{(3)}(w, \zeta, t) = O(1) \left( \frac{|w|\beta^q}{r(r')^q} \right)^n,$$

so that  $\lim_{n \rightarrow \infty} \Delta_{m,n,\ell}^{*(3)}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < R(R/r_0)^q$ .



Finally, from (2.19) and  $|\zeta| = r'$ , we have

$$\begin{aligned} F_{m,n}(w, \zeta) &= O(1) \frac{1}{(r')^m} \sum_{\nu=1}^{\infty} \sum_{j=0}^n \beta^{\nu+m-j} |w|^j (r')^{-\nu} \\ &= O(1) \left(\frac{\beta}{r'}\right)^m \sum_{\nu=1}^{\infty} \left(\frac{\beta}{r'}\right)^{\nu} \sum_{j=0}^n \left(\frac{|w|}{\beta}\right)^j = O(1) \left(\frac{|w|\beta^{q-1}}{(r')^q}\right)^n. \end{aligned}$$

Thus,  $\lim_{n \rightarrow \infty} \Delta_{m,n,\ell}^{*(4)}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < r_0(R/r_0)^q$ . Combining all the above, we have

$$\lim_{n \rightarrow \infty} \Delta_{m,n,\ell}^*(z; f) = 0, \quad z \in G_\lambda,$$

where

$$\lambda := \begin{cases} r_0(R/r_0)^q, & \ell = 1, \\ \min\{r_0(R/r_0)^q; R^{q+1}\}, & \ell \geq 2. \end{cases} \quad (2.22)$$

(2) A further improvement may be achieved in the case of an ellipse  $E_\delta$  (where  $\delta > 1$  and where  $\partial E_\delta$  is the image of the circle  $\{w \in \mathbb{C} : |w| = \delta\}$  under the map  $w \rightarrow \frac{1}{2}(w + \frac{1}{w})$ , and is therefore  $r_0$ -analytic with  $r_0 = 1/\delta$ ). Then, we have  $F_n(\psi(w)) = w^n + \frac{1}{\delta^{2n}w^n}$ , and an examination of the proof of Theorem 1 shows that in this case

$$\lambda = \begin{cases} r_0(R/r_0)^{2q-1}, & \ell = 1, \\ \min\{R(R/r_0)^{2q-1}; R^{q+1}\}, & \ell \geq 2. \end{cases} \quad (2.23)$$

(3) The previous remark also applies to the case of the segment  $E = [-1, 1]$  (where  $\delta = 1$ ) and gives

$$\lambda = \begin{cases} R^{2q-1}, & \ell = 1, \\ R, & q = 1, \\ R^{q+1}, & \ell \geq 2. \end{cases} \quad (2.24)$$

For  $\ell = 1$ , this is Theorem 2 of Rivlin [9].

(4) The main reason for the different results in Theorem A and Theorem 1 (in the case when  $\partial E$  is  $r_0$ -analytic) may be explained as follows. If  $E$  is the closed unit disk  $D_1$ , then the Fejér nodes coincide with the roots of unity while the Faber nodes are all zero, so that  $L_n^*(z; f) \equiv S_n(z; f)$ .

### 3 HERMITE INTERPOLATION

In [2], we stated without proof a result analogous to Theorem A, after replacing Lagrange interpolation with Hermite interpolation in Fejér nodes. For  $s \in \mathbb{N}$  and  $f \in A_R$ , we denote by  $H_{s(m+1)-1}(z; f)$  the Hermite interpolation polynomial to

$f, f', \dots, f^{(s-1)}$  in the  $(m+1)^{st}$  Fejér nodes on a compact set  $E$ . Then for  $p, q \in \mathbb{N}$  with  $sq \geq p$ , and  $m = q(n+1) - 1$ , we considered, as in [2], the operator

$$\Delta_{m,n}^{p,s}(z; f) := S_{p(n+1)-1}(z; H_{s(m+1)-1}(\cdot, f)) - S_{p(n+1)-1}(z; f). \quad (3.1)$$

With the above notation and the notation that  $[t]$  denotes the integral part of the real number  $t$ , we state the following corrected form of:

**Theorem B [2]** *Let  $\partial E$  be an  $r_0$ -analytic curve for some  $r_0 \in [0, 1)$ , let  $f \in A_R$ , let  $m = q(n+1) - 1$  for a fixed  $q \in \mathbb{N}$ , let  $s, p \in \mathbb{N}$  be such that  $sq \geq p$ , and let  $\Delta_{m,n}^{p,s}(z; f)$  be given by (3.1). Then,*

$$\lim_{n \rightarrow \infty} \Delta_{m,n}^{p,s}(z; f) = 0, \quad z \in G_\lambda, \quad (3.2)$$

the convergence being uniform and geometric on every subset  $\bar{G}_\mu$  for  $1 \leq \mu < \lambda$ , where

$$\lambda := \begin{cases} \min \left\{ R/r_0^{q/p}; R^{sq/p}/r_0^{(q/p)-1}; R^\sigma \right\}, & \text{if } q \geq p, \\ \min \left\{ R/r_0^{q/p}; R^{sq/p}; R^\sigma \right\}, & \text{if } q < p, \end{cases} \quad (3.3)$$

where, if  $p = tq + \tau$  with  $t \in \mathbb{N}_0$  and  $0 \leq \tau < q$ , then  $\sigma := (qs + \tau)/p$  if  $0 < \tau < p$  and  $\sigma := q(s+1)/p$  if  $\tau = 0$ .

Here, we shall consider the Hermite interpolant to  $f, f', \dots, f^{(s-1)}$  in the  $(m+1)^{st}$  Faber nodes. We denote it by  $H_{s(m+1)-1}^*(z; f)$ . We set

$$\Delta_{m,n}^{*p,s}(z; f) := S_{p(n+1)-1}(z; H_{s(m+1)-1}^*(\cdot; f)) - S_{p(n+1)-1}(z; f), \quad (3.4)$$

where  $S_{p(n+1)-1}(z; f)$  denotes the  $(p(n+1) - 1)^{st}$  section of the Faber expansion of  $f$ .

We next establish:

**Theorem 2** *Let  $f \in A_R$ , let  $m = q(n+1) - 1$  for a fixed  $q \in \mathbb{N}$ , let  $s, p \in \mathbb{N}$  be such that  $sq \geq p$ , and let  $\Delta_{m,n}^{*p,s}(z; f)$  be given by (3.4) for the Faber nodes with respect to a compact set  $E$ . Then,*

$$\lim_{n \rightarrow \infty} \Delta_{m,n}^{*p,s}(z; f) = 0, \quad z \in G_\lambda, \quad (3.5)$$

the convergence being uniform and geometric on every subset  $\bar{G}_\mu$  for  $1 \leq \mu < \lambda$ , where

$$\lambda := \min\{R^{1+q/p}; R^{sq/p}\}. \quad (3.6)$$

**Proof:** In analogy to (2.7) and (2.6), it is easy to see that

$$\begin{aligned} S_{p(n+1)-1}(z; H_{s(m+1)-1}^*(\cdot, f)) &= \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \\ &\times \left( \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} \cdot \frac{w_m^s(\psi(\zeta)) - w_m^s(\psi(t))}{w_m^s(\psi(\zeta))} \sum_{k=0}^{p(n+1)-1} \frac{F_k(z)}{t^{k+1}} dt \right) d\zeta, \end{aligned} \quad (3.7)$$

and

$$S_{p(n+1)-1}(z; f) = \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \left( \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} \cdot \frac{\zeta}{t} \sum_{k=0}^{p(n+1)-1} \frac{F_k(z)}{\zeta^{k+1}} dt \right) d\zeta, \quad (3.8)$$

where  $1 < r < r' < R$ .

Writing  $w_m(z) = c^{m+1} F_{m+1}(z)$ , we use (2.10) and (2.12) to show that

$$\frac{w_m^s(\psi(\zeta)) - w_m^s(\psi(t))}{w_m^s(\psi(\zeta))} = \frac{\zeta^{s(m+1)} - t^{s(m+1)}}{\zeta^{s(m+1)}} \left( 1 + O(1) \frac{\sqrt{m}}{|\zeta|^m} \right), \quad (3.9)$$

uniformly on closed subsets of  $\{\zeta \in \mathbb{C} : |\zeta| > 1\} \times \{t \in \mathbb{C} : |t| > 1\}$ .

Choosing  $\rho$  such that  $1 < \rho < r < r' < R$  and putting (3.7), (3.8), (3.9) and (2.10) together, we obtain (with  $z = \psi(w)$ )

$$\Delta_{m,n}^{*p,s}(z; f) = \sum_{j=1}^4 \Delta_{m,n,j}^{*p,s}(z; f), \quad (3.10)$$

where

$$\Delta_{m,n,j}^{*p,s}(z; f) := \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \left( \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{m,n,j}(w, \zeta, t) dt \right) d\zeta, \quad j = 1, 2, 3, 4.$$

The kernels  $K_{m,n,j}(w, \zeta, t)$  ( $j = 1, 2, 3, 4$ ) are given explicitly as follows:

$$K_{m,n,1}(w, \zeta, t) := \frac{\zeta^{s(m+1)} - t^{s(m+1)}}{\zeta^{s(m+1)}} \left( 1 + O\left(\frac{1}{\rho^m}\right) \right) \sum_{k=1}^{p(n+1)-1} \sum_{\nu=1}^{\infty} \frac{\alpha_{k,\nu}}{w^\nu t^{k+1}} - \frac{\zeta}{t} \sum_{k=1}^{p(n+1)-1} \sum_{\nu=1}^{\infty} \frac{\alpha_{k,\nu}}{w^\nu \zeta^{k+1}}, \quad (3.11)$$

$$K_{m,n,2}(w, \zeta, t) := \frac{t^{p(n+1)} - w^{p(n+1)}}{(t-w)t^{p(n+1)}} - \frac{\zeta}{t} \cdot \frac{\zeta^{p(n+1)} - w^{p(n+1)}}{(\zeta-w)\zeta^{p(n+1)}}, \quad (3.12)$$

$$K_{m,n,3}(w, \zeta, t) := O\left(\frac{1}{\rho^m}\right) \frac{\zeta^{s(m+1)} - t^{s(m+1)}}{\zeta^{s(m+1)}} \cdot \frac{t^{p(n+1)} - w^{p(n+1)}}{(t-w)t^{p(n+1)}}, \quad (3.13)$$

and

$$K_{m,n,4}(w, \zeta, t) := -\frac{t^{s(m+1)}}{\zeta^{s(m+1)}} \cdot \frac{t^{p(n+1)} - w^{p(n+1)}}{(t-w)t^{p(n+1)}}. \quad (3.14)$$

As in the proof of Theorem 1, it can be shown that  $\lim_{n \rightarrow \infty} \Delta_{m,n,1}^{*p,s}(z; f) = 0$ , locally uniformly on  $\mathbb{C}$ . Using the residue theorem, we can see, exactly as in the proof of Theorem 1, that  $\Delta_{m,n,2}^{*p,s}(z; f) \equiv 0$ . Moreover it is obvious that for  $|w| > r'$ ,

$$K_{m,n,3}(w, \zeta, t) = O(1) \left( \frac{|w|^p}{\rho^q r^p} \right)^n, \quad (3.15)$$

so that  $\lim_{n \rightarrow \infty} \Delta_{m,n,3}^{*p,s}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for  $1 \leq \mu < R^{1+(q/p)}$ .

Finally, in order to estimate  $\Delta_{m,n,4}^{*p,s}(z; f)$ , we consider

$$F_{m,n}(w, \zeta) := \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{m,n,4}(w, \zeta, t) dt, \quad (3.16)$$

and recall from (2.18) that

$$\frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} = \frac{1}{\zeta - t} + \sum_{\nu=1}^{\infty} \sum_{k=1}^{\infty} \alpha_{\nu,k} t^{-k} \zeta^{-\nu-1}. \quad (3.17)$$

The integrand in (3.16), when expanded in powers of  $t$  and on using (3.17), becomes

$$-\frac{1}{\zeta^{s(m+1)+1}} \left[ \sum_{k=0}^{\infty} \sum_{j=0}^{p(n+1)-1} \zeta^{-k} w^j t^{k+s(m+1)-j-1} + \sum_{\nu=1}^{\infty} \sum_{k=1}^{\infty} \sum_{j=0}^{p(n+1)-1} \alpha_{\nu,k} \zeta^{-\nu} w^j t^{-k+s(m+1)-j-1} \right].$$

Since  $k + s(m+1) - j - 1 = k + sq(n+1) - j - 1 \geq 0$ , the integral of the double sum vanishes and only the triple sum gives a contribution to the integral  $F_{m,n}(w, \zeta)$  when  $k = s(m+1) - j$ . Thus, we have

$$F_{m,n}(w, \zeta) = -\frac{1}{\zeta^{s(m+1)+1}} \sum_{\nu=1}^{\infty} \sum_{j=0}^{p(n+1)-1} \alpha_{\nu, s(m+1)-j} w^j \zeta^{-\nu}. \quad (3.18)$$

Again using the inequality of (2.11), we obtain

$$\begin{aligned} F_{m,n}(w, \zeta) &= O(1) \frac{1}{(r')^{sm}} \sum_{\nu=1}^{\infty} \sum_{j=0}^{p(n+1)-1} \sqrt{\frac{\nu}{s(m+1)-j}} |w|^j (r')^{-\nu} \\ &= O(1) \frac{1}{(r')^{sm}} \sum_{\nu=1}^{\infty} \frac{\sqrt{\nu}}{(r')^\nu} \sum_{j=0}^{p(n+1)-1} |w|^j = O(1) \left( \frac{|w|^p}{(r')^{sq}} \right)^n, \end{aligned}$$

which implies that  $\lim_{n \rightarrow \infty} \Delta_{m,n,4}^{*p,s}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < R^{sq/p}$ . Combining the above results then gives the desired result of (3.6) of Theorem 2.  $\blacksquare$

**Remarks.** (1) We do not know whether  $\lambda$  in (3.6) is best possible. However, if  $\partial E$  is  $r_0$ -analytic, we can improve our result. In this case we have for every  $\beta$  such that  $r_0 < \beta < 1$ ,

$$\frac{w_m^s(\psi(\zeta)) - w_m^s(\psi(t))}{w_m^s(\psi(\zeta))} = \frac{\zeta^{s(m+1)} - t^{s(m+1)}}{\zeta^{s(m+1)}} \left( 1 + O\left(\left(\frac{\beta}{|\zeta|}\right)^m\right) \right).$$

Then, an examination of the above proof shows that in this case the estimates of  $\Delta_{m,n,1}^{*p,s}(z; f)$  and  $\Delta_{m,n,2}^{*p,s}(z; f)$  remain unchanged. But

$$K_{m,n,3}(w, \zeta, t) = O(1) \left( \frac{\beta^q |w|^p}{r^{q+p}} \right),$$

so that  $\lim_{n \rightarrow \infty} \Delta_{m,n,3}^{*p,s}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < R(R/r_0)^{q/p}$ .

Finally, from (3.18) (since now  $\alpha_{n,k} = O(\beta^{n+k})$ ), we obtain

$$\begin{aligned} F_{m,n}(w, \zeta) &= O(1) \frac{1}{(r')^{sm}} \sum_{\nu=1}^{\infty} \sum_{j=0}^{p(n+1)-1} \beta^{\nu+s(m+1)-j} |w|^j (r')^{-\nu} \\ &= O(1) \left( \frac{\beta}{r'} \right)^{sm} \sum_{\nu=1}^{\infty} \left( \frac{\beta}{r'} \right)^{\nu} \sum_{j=0}^{p(n+1)-1} \left( \frac{|w|}{\beta} \right)^j = O(1) \left( \frac{|w|^p \beta^{sq-p}}{(r')^{sq}} \right)^n, \end{aligned}$$

and this implies that  $\lim_{n \rightarrow \infty} \Delta_{m,n,4}^{*p,s}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < r_0(R/r_0)^{sq/p}$ . Therefore, when the boundary curve  $\partial E$  is an  $r_0$ -analytic curve,

$$\lim_{n \rightarrow \infty} \Delta_{m,n}^{*p,s}(z; f) = 0, \quad z \in G_\lambda,$$

where

$$\lambda := \min \left\{ r_0 \left( \frac{R}{r_0} \right)^{sq/p}; R \left( \frac{R}{r_0} \right)^{q/p} \right\}.$$

(2) A further improvement may be achieved in the case of an ellipse  $E_\delta$  (where  $\delta > 1$  and where  $\partial E_\delta$  is  $r_0$ -analytic with  $r_0 = 1/\delta$ ). An examination of the proof of Theorem 2 shows that in this case,

$$\lambda := \min \left\{ r_0 \left( \frac{R}{r_0} \right)^{(2sq/p)-1}; R \left( \frac{R}{r_0} \right)^{2q/p} \right\}.$$

This also applies to the case of a segment  $E = [-1, 1]$  (where  $\delta = 1$ ) and gives

$$\lambda := \min \left\{ R^{(2sq/p)-1}; R^{1+(2q/p)} \right\}.$$

#### 4 MIXED HERMITE AND LAGRANGE INTERPOLATION

We next consider the case where Faber sections of Hermite interpolants are compared with Faber sections of Lagrange interpolants. This is analogous to Theorem C of [2] which was stated without proof. More precisely, for  $s, p \in \mathbb{N}$  with  $s \geq \max\{p; 2\}$ , we set

$$D_{p,s,n}(z; f) := S_{p(n+1)-1}(z; \{H_{s(n+1)-1}(\cdot; f) - L_{s(n+1)-1}(\cdot; f)\}), \quad (4.1)$$

where  $H_{s(n+1)-1}(z; f)$  and  $L_{s(n+1)-1}(z; f)$  are Hermite and Lagrange interpolants in Fejér nodes with respect to a compact set  $E$ . The following theorem was announced in [2].

**Theorem C** [2] *Let  $\partial E$  be an  $r_0$ -analytic curve for some  $r_0 \in [0, 1)$ , let  $f \in A_R$ , let  $s, p \in \mathbb{N}$  be such that  $s \geq \max\{p, 2\}$ , and let  $D_{p,s,n}(z; f)$  be given by (4.1). Then,*

$$\lim_{n \rightarrow \infty} D_{p,s,n}(z; f) = 0, \quad z \in G_\lambda, \quad (4.2)$$

the convergence being uniform and geometric on every subset  $\bar{G}_\mu$  for  $1 \leq \mu < \lambda$ , where

$$\lambda := \begin{cases} \begin{cases} R^{s+2} & \text{for } p = 1 \text{ and } s \text{ even} \\ R^{(s+1)/p}; & \text{otherwise} \end{cases} & \text{if } r_0 = 0, \\ \min\{R/r_0^{1/p}; R^s/p\}, & \text{if } r_0 > 0. \end{cases} \quad (4.3)$$

We shall next prove an analogue of Theorem C, using Hermite interpolation and Lagrange interpolation in Faber nodes. Let  $H_{s(n+1)-1}^*(z; f)$  be the Hermite interpolant to  $f$  in the zeros of  $(F_{n+1}(z))^s$ , and let  $L_{s(n+1)-1}^*(z; f)$  denote the Lagrange interpolant to  $f$  in the zeros of  $F_{s(n+1)}(z)$ . As in earlier sections,  $S_{p(n+1)-1}(z; f)$  denotes the Faber section of degree  $p(n+1) - 1$  of the Faber expansion of  $f$ . Set

$$D_{p,s,n}^*(z; f) := S_{p(n+1)-1}(z; \{H_{s(n+1)-1}^*(\cdot; f) - L_{s(n+1)-1}^*(\cdot; f)\}). \quad (4.4)$$

We next establish:

**Theorem 3** *Let  $f \in A_R$ , let  $s, p \in \mathbb{N}$  be such that  $s \geq \max\{p, 2\}$ , and let  $D_{p,s,n}^*(z; f)$  be given by (4.4). Then,*

$$\lim_{n \rightarrow \infty} D_{p,s,n}^*(z; f) = 0, \quad z \in G_\lambda, \quad (4.5)$$

the convergence being uniform and geometric on every subset  $\bar{G}_\mu$  for  $1 \leq \mu < \lambda$ , where

$$\lambda := R^{1+(1/p)}. \quad (4.6)$$

**Proof:** It is easy to verify that the following integral representation holds:

$$D_{p,s,n}^*(z; f) = \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \left( \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{s,n}(\zeta, t) \sum_{k=0}^{p(n+1)-1} \frac{F_k(z)}{t^{k+1}} dt \right) d\zeta, \quad (4.7)$$

where  $K_{s,n}(\zeta, t)$  is the difference between the kernels of Hermite and Lagrange interpolants and where  $1 < r < r' < R$ . Then,

$$\begin{aligned} K_{s,n}(\zeta, t) &:= \frac{w_n^s(\psi(\zeta)) - w_n^s(\psi(t))}{w_n^s(\psi(\zeta))} - \frac{w_{s(n+1)-1}(\psi(\zeta)) - w_{s(n+1)-1}(\psi(t))}{w_{s(n+1)-1}(\psi(\zeta))} \\ &= \frac{w_{s(n+1)-1}(\psi(t))}{w_{s(n+1)-1}(\psi(\zeta))} - \frac{w_n^s(\psi(t))}{w_n^s(\psi(\zeta))}. \end{aligned} \quad (4.8)$$

Using (2.10) and (2.12), we then have

$$K_{s,n}(\zeta, t) = \frac{t^{s(n+1)}}{\zeta^{s(n+1)}} O\left(\frac{1}{\rho^{n+1}}\right),$$

uniformly on closed subsets of  $\{\zeta \in \mathbb{C} : |\zeta| > 1\} \times \{t \in \mathbb{C} : |t| > 1\}$ , where  $\rho$  is chosen such that  $1 < \rho < r < r' < R$ . Moreover (with  $z = \psi(w)$ ),

$$\begin{aligned} K_{s,n}(\zeta, t) \sum_{k=0}^{p(n+1)-1} \frac{F_k(z)}{t^{k+1}} &= O\left(\frac{1}{\rho^{n+1}}\right) \frac{t^{s(n+1)}}{\zeta^{s(n+1)}} \cdot \frac{t^{p(n+1)} - w^{p(n+1)}}{(t-w)t^{p(n+1)}} \\ &\quad + O\left(\frac{1}{\rho^{n+1}}\right) \frac{t^{s(n+1)}}{\zeta^{s(n+1)}} \sum_{k=1}^{p(n+1)-1} \sum_{\nu=1}^{\infty} \alpha_{k,\nu} w^{-\nu} t^{-k-1}. \end{aligned} \quad (4.9)$$

Since  $|t| < |\zeta|$  and because of (2.11), the second term on the right side of (4.9) is bounded above by

$$O\left(\frac{1}{\rho^{n+1}}\right) \left(\frac{|t|}{|\zeta|}\right)^{s(n+1)} \cdot \sum_{k=1}^{p(n+1)-1} \sqrt{k} |t|^{-k-1} \cdot \sum_{\nu=1}^{\infty} \frac{1}{\sqrt{\nu}} |w|^{-\nu},$$

and this tends to zero as  $n \rightarrow \infty$ . The first term on the right of (4.9) can be estimated by

$$O(1) \left(\frac{|w|^p}{\rho r^p}\right)^n,$$

which gives the desired result of (4.6) of Theorem 3. ■

**Remarks.** (1) We do not know whether  $\lambda$  of (4.6) is best possible. However, we are able to improve our result if  $\partial E$  is  $r_0$ -analytic. In this case, we have

$$\lim_{n \rightarrow \infty} D_{p,s,n}^*(z; f) = 0, \quad z \in G_\lambda,$$

with  $\lambda := R(R/r_0)^{1/p}$ .

(2) A further improvement may be achieved in the case of an ellipse  $E_\delta$  (where  $\delta > 1$  and where  $\partial E_\delta$  is  $r_0$ -analytic with  $r_0 = 1/\delta$ ). An examination of the above proof shows that in this case  $\lambda$  is given by

$$\lambda := R(R/r_0)^{2/p}.$$

This also applies to the case of the segment  $E = [-1, 1]$  (where  $\delta = 1$ ) and gives  $\lambda := R^{1+2/p}$ .

## 5 PROOF OF THEOREM B

Since the proof of Theorem B was not given in [2], we outline it briefly here. Observe that the formula for  $\Delta_{m,n}^{p,s}(z; f)$  remains the same as the difference of (3.7) and (3.8). We have to keep in mind that  $w_n(\psi(t))$ , based on Fejér nodes, satisfies (from Lemma 3.1 in [4] where  $\partial E$  is a  $r_0$ -analytic curve, with  $r_0 \in [0, 1)$ )

$$w_m(\psi(w)) = c^{m+1}(w^{m+1} - 1)(1 + O(\beta^m)), \quad (5.1)$$

for any  $\beta$  such that  $r_0 < \beta < 1$ , uniformly on closed subsets of  $\{w \in \mathbb{C} : |w| > r_0\}$ , which gives

$$\frac{w_m(\psi(\zeta)) - w_m(\psi(t))}{w_m(\psi(\zeta))} = \frac{\zeta^{m+1} - t^{m+1}}{\zeta^{m+1} - 1} (1 + O(\beta^m)), \quad (5.2)$$

and

$$\frac{w_m^s(\psi(\zeta)) - w_m^s(\psi(t))}{w_m^s(\psi(\zeta))} = \frac{(\zeta^{m+1} - 1)^s - (t^{m+1} - 1)^s}{(\zeta^{m+1} - 1)^s} (1 + O(\beta^m)), \quad (5.3)$$

uniformly on closed subsets of  $\{\zeta \in \mathbb{C} : |\zeta| > 1\} \times \{t \in \mathbb{C} : |t| > 1\}$ . Here again, we write

$$\Delta_{m,n}^{p,s}(z; f) = \sum_{j=1}^4 \Delta_{m,n,j}(z; f),$$

where for  $j = 1, 2, 3, 4$  we have

$$\Delta_{m,n,j}(z; f) = \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \left( \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{m,n,j}(w, \zeta, t) dt \right) d\zeta. \quad (5.4)$$



The kernels  $K_{m,n,j}(w, \zeta, t)$  are defined as follows:

$$K_{m,n,1}(w, \zeta, t) := \frac{(\zeta^{m+1} - 1)^s - (t^{m+1} - 1)^s}{(\zeta^{m+1} - 1)^s} (1 + O(\beta^m)) \sum_{k=1}^{p(n+1)-1} \sum_{\nu=1}^{\infty} \frac{\alpha_{k,\nu}}{w^\nu t^{k+1}} - \frac{\zeta}{t} \sum_{k=1}^{p(n+1)-1} \sum_{\nu=1}^{\infty} \frac{\alpha_{k,\nu}}{w^\nu \zeta^{\nu+1}}, \quad (5.5)$$

$$K_{m,n,2}(w, \zeta, t) := \frac{t^{p(n+1)} - w^{p(n+1)}}{(t-w)t^{p(n+1)}} - \frac{\zeta}{t} \cdot \frac{\zeta^{p(n+1)} - w^{p(n+1)}}{(\zeta-w)\zeta^{p(n+1)}}, \quad (5.6)$$

$$K_{m,n,3}(w, \zeta, t) := O(\beta^m) \frac{(\zeta^{m+1} - 1)^s - (t^{m+1} - 1)^s}{(\zeta^{m+1} - 1)^s} \cdot \frac{t^{p(n+1)} - w^{p(n+1)}}{(t-w)t^{p(n+1)}}, \quad (5.7)$$

and

$$K_{m,n,4}(w, \zeta, t) := -\frac{(t^{m+1} - 1)^s}{(\zeta^{m+1} - 1)^s} \cdot \frac{t^{p(n+1)} - w^{p(n+1)}}{(t-w)t^{p(n+1)}}. \quad (5.8)$$

The estimate of  $\Delta_{m,n,1}(z; f)$  is obtained as in the proof of Theorem 2, and we obtain  $\lim_{n \rightarrow \infty} \Delta_{m,n,1}(z; f) = 0$ , locally uniformly on  $\mathbb{C}$ . Further, using the residue theorem, we obtain

$$\frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{m,n,2}(w, \zeta, t) dt = K_{m,n,2}(w, \zeta, \zeta) = 0$$

for all  $w, \zeta$ , which yields  $\lim_{n \rightarrow \infty} \Delta_{m,n,2}(z; f) = 0$  for all  $z \in \mathbb{C}$ . For the estimate of  $\Delta_{m,n,3}(z; f)$ , we examine the kernel  $K_{m,n,3}(w, \zeta, t)$  and we see that for  $|w| > r$ ,

$$K_{m,n,3}(w, \zeta, t) = O(1) \left( \frac{|w|^p \beta^q}{r^p} \right)^n,$$

and thus  $\lim_{n \rightarrow \infty} \Delta_{m,n,3}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for  $1 \leq \mu < R/r_0^{q/p}$ . To find the estimate of  $\Delta_{m,n,4}(z; f)$ , we use (2.18) to obtain

$$\frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{m,n,4}(w, \zeta, t) dt = I_1(w, \zeta) + I_2(w, \zeta), \quad (5.9)$$

where

$$I_1(w, \zeta) := \frac{1}{2\pi i} \int_{|t|=r} \frac{1}{\zeta - t} K_{m,n,4}(w, \zeta, t) dt,$$

and

$$I_2(w, \zeta) := \frac{1}{2\pi i} \int_{|t|=r} A(\zeta, t) K_{m,n,A}(w, \zeta, t) dt,$$

with  $A(\zeta, t) := \sum_{\nu=1}^{\infty} \sum_{k=1}^{\infty} \alpha_{\nu,k} t^{-k} \zeta^{-\nu-1}$ . Expanding the integrand in  $I_1(w, \zeta)$  in powers of  $t$ , we obtain

$$\frac{1}{\zeta - t} K_{m,n,A}(w, \zeta, t) = \frac{-1}{(\zeta^{m+1} - 1)^s} \sum_{k=0}^{\infty} \sum_{j=0}^s \sum_{\nu=0}^{p(n+1)-1} \binom{s}{j} \frac{(-1)^{s-j} w^{\nu}}{\zeta^{k+1}} t^{k+j(m+1)-\nu-1},$$

and its integral, with respect to  $t$ , will vanish except when  $k = \nu - j(m+1) \geq 0$ .

On setting  $k = \nu - j(m+1) \geq 0$  in the above display, it follows, as  $s \geq p/q$  and  $m+1 = q(n+1)$  from the hypotheses of Theorem B, that the above summation index  $j$  satisfies  $0 \leq j \leq \min \left\{ \frac{p(n+1)-1}{q(n+1)} ; s \right\} = \frac{p}{q} - \frac{1}{q(n+1)}$ . Thus,

$$I_1(w, \zeta) = \frac{-1}{\zeta(\zeta^{m+1} - 1)^s} \sum_{j=0}^{\lfloor \frac{p}{q} - \frac{1}{q(n+1)} \rfloor} \binom{s}{j} (-1)^{s-j} \zeta^{jq(n+1)} \sum_{\nu=jq(n+1)}^{p(n+1)-1} \left( \frac{w}{\zeta} \right)^{\nu}.$$

On writing  $p = qt + \tau$ , where  $t$  is a nonnegative integer and where  $0 \leq \tau < q$ , it follows that

$$I_1(w, \zeta) = \begin{cases} O(1) \left( \frac{|w|^p}{(r')^{sq+\tau}} \right)^n & \text{if } 0 < \tau < q, \\ O(1) \left( \frac{|w|^p}{(r')^{q(s+1)}} \right)^n & \text{if } 0 = \tau, \end{cases} \quad (5.10)$$

which implies that  $\lim_{n \rightarrow \infty} \Delta_{m,n,A}^{(1)}(z; f) = 0$  uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < R^\lambda$ , where

$$\lambda := \begin{cases} \frac{qs + \tau}{p} & \text{if } 0 < \tau < q, \\ \frac{q(s+1)}{p} & \text{if } 0 = \tau. \end{cases}$$

In the special case when  $p < q$ , then  $p = tq + \tau$  implies  $t = 0$  and  $\tau = p > 0$ , so that the first display in (5.10) applies with  $\tau = p$ .

In order to estimate  $I_2(w, \zeta)$ , we again expand the integrand in powers of  $t$  and obtain

$$\begin{aligned} & A(\zeta, t) K_{m,n,A}(w, \zeta, t) \\ &= \frac{-1}{(\zeta^{m+1} - 1)^s} \sum_{\mu=1}^{\infty} \sum_{k=1}^{\infty} \sum_{j=0}^s \sum_{\nu=0}^{p(n+1)-1} \binom{s}{j} (-1)^{s-j} \alpha_{\mu,k} \zeta^{-\mu-1} w^{\nu} t^{-k+j(m+1)-\nu-1}. \end{aligned}$$

Then, all the integrals vanish except when  $k = j(m+1) - \nu \geq 1$ . Then, as  $s \geq p/q$ ,

$$I_2(w, \zeta) = \frac{-1}{(\zeta^{m+1} - 1)^s} \sum_{\mu=1}^{\infty} \sum_{\nu=0}^{p(n+1)-1} \sum_{\substack{j=1 \\ jq(n+1) \geq \nu+1}}^s \binom{s}{j} \frac{(-1)^{s-j} \alpha_{\mu, j(m+1)-\nu} w^\nu}{\zeta^{\mu+1}},$$

and using the known fact [5, eq. (4.2)] that  $\alpha_{\mu, k} = O(1)\beta^{\mu+k}$ , we obtain

$$\begin{aligned} I_2(w, \zeta) &= O(1) \frac{1}{(r')^{sqn}} \sum_{\nu=0}^{p(n+1)-1} \sum_{\substack{j=1 \\ jq(n+1) \geq \nu+1}}^s \beta^{jq(n+1)} \left(\frac{|w|}{\beta}\right)^\nu \\ &= O(1) \frac{1}{(r')^{sqn}} \sum_{\ell=0}^{p-1} \sum_{\nu=0}^n \sum_{\substack{j=1 \\ jq(n+1) \geq \nu+1+\ell(n+1)}}^s \beta^{jq(n+1)} \left(\frac{|w|}{\beta}\right)^{\nu+\ell(n+1)} \\ &= O(1) \frac{1}{(r')^{sqn}} \beta^n \sum_{\ell=0}^{p-1} |w|^{\ell(n+1)} \sum_{\nu=0}^n \left(\frac{|w|}{\beta}\right)^\nu = O(1) \left(\frac{|w|^p}{(r')^{sq}}\right)^n, \end{aligned} \quad (5.11)$$

since  $jq - \ell \geq \frac{\nu+1}{n+1}$  which implies  $jq - \ell \geq 1$ . Therefore, we obtain

$$\Delta_{m,n,4}^{(2)}(z; f) := \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) I_2(w, \zeta) d\zeta \rightarrow 0 \quad \text{as } n \rightarrow \infty,$$

uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < R^{sq/p}$ .

In the special case  $q \geq p$ , we have  $jq(n+1) \geq jp(n+1) \geq \nu+1 + \ell(n+1)$  for all  $j = 1, \dots, p$ ,  $\nu = 0, \dots, n$ , and  $\ell = 0, \dots, p-1$  so that

$$I_2(w, \zeta) = O(1) \left(\frac{|w|^p \beta^{q-p}}{(r')^{sq}}\right)^n,$$

which shows that  $\lim_{n \rightarrow \infty} \Delta_{m,n,4}^{(2)}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < R^{sq/p}/r_0^{-1+q/p}$ . Combining the above results then gives the desired result (3.3) of Theorem B.  $\blacksquare$

**Remarks.** (1) For arbitrary  $p, q, s$ , as  $r_0 \rightarrow 1$ , we obtain  $\lambda \rightarrow R$ . In the case  $s = p$  and  $r_0 > 0$ , we obtain

$$\lambda = \begin{cases} \min\{R^{1+q}; R/r_0^{q/p}; R^q/r_0^{-1+q/p}\}, & \text{if } q \geq p, \\ \min\{R^q; R/r_0^{q/p}\}, & \text{if } q < p. \end{cases} \quad (5.12)$$

If  $r_0 = 0$ , i.e.,  $E = \bar{D}_1$ , we have  $\lambda = R^{1+sq/p}$  for  $q \geq p$  and  $\lambda = R^{(1+sq)/p}$  for  $q < p$ . If in addition  $s = p$  and  $q = 1$ , Theorem B gives a special case of Theorem 3 of [3].

(2) We do not know whether  $\lambda$  of (3.3) is best possible. However, we are able to improve our result if  $E$  is the ellipse  $E_\delta$ . An examination of the proof then yields that Theorem B holds with

$$\lambda = \begin{cases} \min\{R^{1+sq/p}; R^{1+q/p}/r_0^{2q/p}; R^{-1+(s+1)q/p}/r_0^{2(-1+q/p)}\}, & q \geq p, \\ \min\{R^{1+q/p}/r_0^{2q/p}; R^{sq/p}\}, & q < p. \end{cases} \quad (5.13)$$

(3) The previous remark also applies when  $\delta = 1$ , i.e.,  $E = [-1, 1]$ , and we obtain

$$\lambda = \begin{cases} \min\{R^{1+q/p}; R^{(s+1)q/p-1}\}, & q \geq p, \\ \min\{R^{1+q/p}; R^{sq/p}\}, & q < p. \end{cases} \quad (5.14)$$

## 6 PROOF OF THEOREM C

As in the proof of Theorem 3 (Sec. 4), the form of the integral representation of the operator  $D_{p,s,n}(z; f)$  is given by (4.7) where the kernel  $K_{s,n}(\zeta, t)$  is given by (4.8). Since  $w_n(\psi(t))$  is based on Fejér nodes, we have

$$\begin{aligned} K_{s,n}(\zeta, t) &= \frac{t^{s(n+1)} - 1}{\zeta^{s(n+1)} - 1} (1 + O(\beta^{sn})) - \frac{(t^{n+1} - 1)^s}{(\zeta^{n+1} - 1)^s} (1 + O(\beta^n)) \\ &= K_{s,n}^{(1)}(\zeta, t) + K_{s,n}^{(2)}(\zeta, t), \end{aligned} \quad (6.1)$$

where

$$K_{s,n}^{(1)}(\zeta, t) := \frac{t^{s(n+1)} - 1}{\zeta^{s(n+1)} - 1} - \frac{(t^{n+1} - 1)^s}{(\zeta^{n+1} - 1)^s} \quad (6.2)$$

and

$$K_{s,n}^{(2)}(\zeta, t) := \frac{t^{s(n+1)} - 1}{\zeta^{s(n+1)} - 1} O(\beta^{sn}) - \frac{(t^{n+1} - 1)^s}{(\zeta^{n+1} - 1)^s} O(\beta^n). \quad (6.3)$$

Since  $\partial E$  is an  $r_0$ -analytic curve, then  $1 > \beta > r_0$  and with  $z = \psi(w)$  for  $|w| > 1$ , we have

$$\begin{aligned} \sum_{k=0}^{p(n+1)-1} \frac{F_k(z)}{t^{k+1}} &= \frac{t^{p(n+1)} - w^{p(n+1)}}{(t-w)t^{p(n+1)}} + \sum_{k=1}^{p(n+1)-1} \sum_{\nu=1}^{\infty} \alpha_{k,\nu} w^{-\nu} t^{-k-1} \\ &=: A_{p,n,1}(w, t) + A_{p,n,2}(w, t). \end{aligned}$$

Since

$$\lim_{n \rightarrow \infty} A_{p,n,2}(w, t) = \sum_{k=1}^{\infty} \sum_{\nu=1}^{\infty} \alpha_{k,\nu} w^{-\nu} t^{-k-1},$$

uniformly on closed subsets of  $\{w \in \mathbb{C} : |w| > 1\} \times \{t \in \mathbb{C} : |t| > 1\}$ , we obtain

$$\lim_{n \rightarrow \infty} K_{s,n}^{(j)}(\zeta, t) A_{p,n,2}(w, t) = 0 \quad (j = 1, 2), \quad (6.4)$$

uniformly for  $|\zeta| > |t| \geq \delta$ ,  $|w| \geq \delta$  for any  $\delta > 1$ . It is therefore enough to consider

$$\begin{cases} K_{p,s,n}^{(1)}(w, \zeta, t) := K_{s,n}^{(2)}(\zeta, t)A_{p,n,1}(w, t), \\ K_{p,s,n}^{(2)}(w, \zeta, t) := K_{s,n}^{(1)}(\zeta, t)A_{p,n,1}(w, t). \end{cases} \quad (6.5)$$

We now set

$$D_{p,s,n}^{(j)}(z; f) := \frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) \left( \frac{1}{2\pi i} \int_{|t|=r} \frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{p,s,n}^{(j)}(w, \zeta, t) dt \right) d\zeta. \quad (6.6)$$

**Estimate of  $D_{p,s,n}^{(1)}(z; f)$**

From (6.3), we see that  $K_{s,n}^{(2)}(\zeta, t) = O(\beta^n)$ , uniformly for  $|\zeta| > |t| \geq \delta > 1$ , so that for  $|w| > r$ , we obtain

$$K_{p,s,n}^{(1)}(w, \zeta, t) = O(1) \left( \frac{\beta|w|^p}{r^p} \right)^n,$$

and thus  $\lim_{n \rightarrow \infty} D_{p,s,n}^{(1)}(z; f) = 0$ , uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < R/n_0^{1/p}$ . Note that if  $r_0 = 0$ , then  $D_{p,s,n}^{(1)}(z; f) \equiv 0$ .

**Estimate of  $D_{p,s,n}^{(2)}(z; f)$**

Since

$$\frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} = \frac{1}{\zeta - t} + \sum_{\mu=1}^{\infty} \sum_{k=1}^{\infty} \alpha_{\mu,k} t^{-k} \zeta^{-\mu-1}, \quad (6.7)$$

uniformly on closed subsets of  $\{t \in \mathbb{C} : |t| > 1\} \times \{\zeta \in \mathbb{C} : |\zeta| > 1\}$  where  $\alpha_{\mu,k} = O(\beta^{\mu+k})$ , we can write

$$\frac{\psi'(\zeta)}{\psi(\zeta) - \psi(t)} K_{p,s,n}^{(2)}(w, \zeta, t) = \sum_{j=1}^3 B_{p,s,n}^{(j)}(w, \zeta, t);$$

where we have set

$$\begin{cases} B_{p,s,n}^{(1)}(w, \zeta, t) := \frac{t^{s(n+1)} - 1}{\zeta^{s(n+1)} - 1} \cdot \frac{t^{p(n+1)} - w^{p(n+1)}}{(\zeta - t)(t - w)t^{p(n+1)}}, \\ B_{p,s,n}^{(2)}(w, \zeta, t) := -\frac{(t^{n+1} - 1)^s}{(\zeta^{n+1} - 1)^s} \cdot \frac{t^{p(n+1)} - w^{p(n+1)}}{(\zeta - t)(t - w)t^{p(n+1)}}, \\ B_{p,s,n}^{(3)}(w, \zeta, t) := K_{p,s,n}^{(2)}(w, \zeta, t) \sum_{\mu=1}^{\infty} \sum_{k=1}^{\infty} \alpha_{\mu,k} t^{-k} \zeta^{-\mu-1}. \end{cases} \quad (6.8)$$

We now evaluate

$$I_j(w, \zeta) := \frac{1}{2\pi i} \int_{|t|=r} B_{p,s,n}^{(j)}(w, \zeta, t) dt \quad (j = 1, 2, 3).$$

In order to find  $I_1(w, \zeta)$ , we note that  $(\zeta^{s(n+1)} - 1)B_{p,s,n}^{(1)}(w, \zeta, t)$  when expanded in powers of  $t$  yields

$$\sum_{k=0}^{\infty} \sum_{\nu=0}^{p(n+1)-1} \zeta^{-k-1} w^\nu t^{k+s(n+1)-\nu-1} - \sum_{k=0}^{\infty} \sum_{\nu=0}^{p(n+1)-1} \zeta^{-k-1} w^\nu t^{k-\nu-1}.$$

In the first sum,  $k + s(n+1) - \nu - 1 \geq 0$  and so its integral vanishes. The integral over the second sum gives a contribution only when  $k = \nu$  and so

$$\begin{aligned} I_1(w, \zeta) &= - \sum_{\nu=0}^{p(n+1)-1} \zeta^{-\nu-1} w^\nu / (\zeta^{s(n+1)} - 1) \\ &= - \frac{\zeta^{p(n+1)} - w^{p(n+1)}}{(\zeta - w)(\zeta^{s(n+1)} - 1)\zeta^{p(n+1)}}. \end{aligned} \tag{6.9}$$

The integrand in  $I_2(w, \zeta)$ , after multiplying by  $(\zeta^{n+1} - 1)^s$ , has the following expansion in powers of  $t$ :

$$- \sum_{k=0}^{\infty} \sum_{j=0}^s \sum_{\nu=0}^{p(n+1)-1} \binom{s}{j} (-1)^{s-j} \zeta^{-k-1} w^\nu t^{k+j(n+1)-\nu-1}.$$

On integrating with respect to  $t$  on the circle  $|t| = r$ , all the integrals vanish except when  $k = \nu - j(n+1) \geq 0$  (then  $\nu \geq j(n+1)$  and thus  $j \leq p-1$ ) and therefore

$$\begin{aligned} I_2(w, \zeta) &= \frac{-1}{(\zeta^{n+1} - 1)^s} \sum_{j=0}^{p-1} \sum_{\nu=j(n+1)}^{p(n+1)-1} \binom{s}{j} (-1)^{s-j} w^\nu \zeta^{j(n+1)-\nu-1} \\ &= - \frac{w^{p(n+1)} \sum_{j=0}^{p-1} \binom{s}{j} (-1)^{s-j} \zeta^{j(n+1)} - \zeta^{p(n+1)} \sum_{j=0}^{p-1} \binom{s}{j} (-1)^{s-j} w^{j(n+1)}}{(\zeta - w)(\zeta^{n+1} - 1)^s \zeta^{p(n+1)}}. \end{aligned} \tag{6.10}$$

From (6.9) and (6.10), we obtain after a slight rearrangement

$$\begin{aligned}
 I_1(w, \zeta) + I_2(w, \zeta) &= \frac{w^{p(n+1)} - \zeta^{p(n+1)}}{(\zeta - w)\zeta^{p(n+1)}} \cdot \frac{(\zeta^{n+1} - 1)^s - (-1)^s (\zeta^{s(n+1)} - 1)}{(\zeta^{s(n+1)} - 1)(\zeta^{n+1} - 1)^s} \\
 &= \frac{w^{p(n+1)} \sum_{j=1}^{p-1} \binom{s}{j} (-1)^{s-j} \zeta^{j(n+1)} - \zeta^{p(n+1)} \sum_{j=1}^{p-1} \binom{s}{j} (-1)^{s-j} w^j \zeta^{j(n+1)}}{(\zeta - w)(\zeta^{n+1} - 1)^s \zeta^{p(n+1)}} \\
 &=: J_1(w, \zeta) + J_2(w, \zeta).
 \end{aligned}$$

Since

$$(\zeta^{n+1} - 1)^s - (-1)^s (\zeta^{s(n+1)} - 1) = \begin{cases} 2\zeta^{s(n+1)} \left(1 + O\left(\frac{1}{\zeta^{n+1}}\right)\right), & \text{if } s \text{ is odd,} \\ -s\zeta^{(s-1)(n+1)} \left(1 + O\left(\frac{1}{\zeta^{n+1}}\right)\right), & \text{if } s \text{ is even,} \end{cases}$$

it follows that

$$J_1(w, \zeta) = \begin{cases} O(1) \left(\frac{|w|^p}{(r')^{p+s}}\right)^n, & s \text{ is odd,} \\ O(1) \left(\frac{|w|^p}{(r')^{p+s+1}}\right)^n, & s \text{ is even.} \end{cases} \quad (6.11)$$

Furthermore,  $J_2(w, \zeta) \equiv 0$  for  $p = 1$  and for  $p > 1$ ,

$$J_2(w, \zeta) = O(1) \left(\frac{|w|^p}{(r')^{s+1}}\right)^n. \quad (6.12)$$

Therefore combining (6.11) and (6.12), we obtain

$$\frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) [I_1(w, \zeta) + I_2(w, \zeta)] d\zeta \rightarrow 0, \quad \text{as } n \rightarrow \infty,$$

uniformly on  $\bar{G}_\mu$  for every  $1 \leq \mu < \lambda$ , where

$$\lambda = \begin{cases} R^{s+2}, & \text{for } p = 1 \text{ and } s \text{ even,} \\ R^{(s+1)/p}, & \text{otherwise.} \end{cases}$$

This proves the theorem for  $r_0 = 0$  because then  $I_3(w, \zeta) \equiv 0$ .

**Estimate of  $I_3(w, \zeta)$**

In order to estimate  $I_3(w, \zeta)$ , we expand  $(\zeta^{s(n+1)} - 1)B_{p,s,n}^{(3)}(w, \zeta, t)$  in powers of  $t$ . Then we have

$$(\zeta^{s(n+1)} - 1)B_{p,s,n}^{(3)}(w, \zeta, t) = S_1 + S_2 + S_3,$$

where

$$\left\{ \begin{array}{l} S_1 := \sum_{\mu=1}^{\infty} \sum_{k=1}^{\infty} \sum_{\nu=0}^{p(n+1)-1} \alpha_{\mu,k} \zeta^{-\mu-1} w^\nu t^{-k+s(n+1)-\nu-1}, \\ S_2 := - \sum_{\mu=1}^{\infty} \sum_{k=1}^{\infty} \sum_{\nu=0}^{p(n+1)-1} \alpha_{\mu,k} \zeta^{-\mu-1} w^\nu t^{-k-\nu-1}, \\ S_3 := - \left(1 + O\left(\frac{1}{\zeta^{n+1}}\right)\right) \sum_{\mu=1}^{\infty} \sum_{k=1}^{\infty} \sum_{j=0}^s \sum_{\nu=0}^{p(n+1)-1} \binom{s}{j} \frac{(-1)^{s-j} \alpha_{\mu,k} w^\nu}{\zeta^{\mu+1}} t^{-k+j(n+1)-\nu-1}. \end{array} \right. \quad (6.13)$$

Since the power of  $t$  in the sum  $S_2$  is  $-k-\nu-1 \leq -2$ , we have no contribution from the integral of  $S_2$ . Term by term integration of the first term  $S_1$  is non-zero only when  $k = s(n+1) - \nu$  and so its contribution is

$$S_{11} = \frac{1}{\zeta^{s(n+1)} - 1} \sum_{\mu=1}^{\infty} \sum_{\nu=0}^{p(n+1)-1} \alpha_{\mu, s(n+1)-\nu} \zeta^{-\mu-1} w^\nu.$$

Similarly, the integration of  $S_3$  with respect to  $t$  vanishes except when  $k = j(n+1) - \nu$  (note that then  $j(n+1) \geq \nu + 1$  and then  $j \neq 0$ ). This yields

$$S_{31} = \left(1 + O\left(\frac{1}{\zeta^{n+1}}\right)\right) \frac{1}{\zeta^{s(n+1)} - 1} \sum_{\mu=1}^{\infty} \sum_{\nu=0}^{p(n+1)-1} \sum_{\substack{j=1 \\ j(n+1) \geq \nu+1}}^s \binom{s}{j} (-1)^{s-j} \alpha_{\mu, j(n+1)-\nu} \zeta^{-\mu-1} w^\nu.$$

Since  $\alpha_{\mu,k} = O(1)\beta^{\mu+k}$ , we obtain

$$S_{11} = O(1) \frac{1}{(r')^{sn}} \sum_{\mu=1}^{\infty} \left(\frac{\beta}{r'}\right)^\mu \beta^{sn} \sum_{\nu=0}^{p(n+1)-1} \left(\frac{w}{\beta}\right)^\nu = O(1) \left(\frac{\beta^{s-p}|w|^p}{(r')^s}\right)^n,$$



and

$$\begin{aligned} S_{31} &= O(1) \frac{1}{(r')^{sn}} \sum_{\mu=1}^{\infty} \left(\frac{\beta}{r'}\right)^{\mu} \sum_{\substack{j=1 \\ j(n+1) \geq \nu+1}}^s \sum_{\nu=0}^{p(n+1)-1} \beta^{j(n+1)} \left(\frac{w}{\beta}\right)^{\nu} \\ &= O(1) \frac{1}{(r')^{sn}} \sum_{\nu=0}^{p(n+1)-1} \beta^{\nu+1} \left(\frac{w}{\beta}\right)^{\nu} = O(1) \left(\frac{|w|^p}{(r')^s}\right)^n. \end{aligned}$$

It therefore follows that

$$\frac{1}{2\pi i} \int_{|\zeta|=r'} f(\psi(\zeta)) I_3(w, \zeta) d\zeta \rightarrow 0, \quad \text{as } n \rightarrow \infty,$$

uniformly on  $\bar{G}_{\mu}$  for every  $1 \leq \mu < R^{s/p} \min\{1/r_0^{-1+s/p}, 1\} = R^{s/p}$ . Combining the above results then gives the desired result of (4.3) of Theorem C. ■

**Remarks.** (1) For  $s = p$  and  $r_0 > 0$ , we have from (4.3) that  $\lambda = R$ , so that Theorem C gives no overconvergence. Also  $\lambda \rightarrow R$  as  $r_0 \rightarrow 1$  for arbitrary  $p$  and  $s$ . If  $0 < r_0 < \frac{1}{R^{s-p}}$ , then  $\lambda = R^{s/p}$ .

(2) If  $r_0 = 0$ , then  $\lambda$  from (4.3) is best possible as can be seen by the example  $f(z) = 1/(R-z)$ , but we do not know if this is the case when  $r_0 > 0$ . However, we are able to improve our result if  $E$  is the ellipse  $E_{\delta}$ . An examination of the proof shows that Theorem C holds with

$$\lambda = \min\{R^{1+1/p}/r_0^{2/p}, R^{s/p}\}.$$

(3) The above remark also applies when  $\delta = 1$ , i.e.,  $E = [-1, 1]$  and we obtain

$$\lambda = \begin{cases} R & \text{for } s = p, \\ R^{1+1/p} & \text{for } s > p. \end{cases}$$

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