

## Asymptotics for the zeros and poles of normalized Padé approximants to $e^z$

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Dedicated to Josef Stoer on the occasion of his 60th birthday

**Summary.** With  $s_n(z)$  denoting the  $n$ -th partial sum of  $e^z$ , the exact rate of convergence of the zeros of the normalized partial sums,  $s_n(nz)$ , to the Szegő curve  $D_{0,\infty}$  was recently studied by Carpenter et al. (1991), where  $D_{0,\infty}$  is defined by

$$D_{0,\infty} := \{z \in \mathbb{C} : |ze^{1-z}| = 1 \text{ and } |z| \leq 1\}.$$

Here, the above results are generalized to the convergence of the zeros and poles of certain sequences of normalized Padé approximants  $R_{n,\nu}((n+\nu)z)$  to  $e^z$ , where  $R_{n,\nu}(z)$  is the associated Padé rational approximation to  $e^z$ .

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### 1. Introduction

With  $s_n(z) := \sum_{j=0}^n z^j/j!$ ,  $n \geq 1$ , denoting the familiar  $n$ -th partial sum of the exponential function  $e^z$ , it was shown in 1924 in a remarkable paper by Szegő [10] that the zeros  $\{z_n(k)\}_{k=1}^n$ , of the normalized partial sum  $s_n(nz)$ , tend, as  $n \rightarrow \infty$ , to the closed curve  $D_{0,\infty}$  in the closed unit disk, where

$$(1.1) \quad D_{0,\infty} := \{z \in \mathbb{C} : |ze^{1-z}| = 1 \text{ and } |z| \leq 1\}.$$

Now, it is known (see [1] or [4]) that the zeros  $\{z_n(k)\}_{k=1}^n$  all lie in the closed unit disk for every  $n \geq 1$ , and Szegő's result, more precisely, is that each accumulation point (in the closed unit disk) of all these zeros *must lie on*  $D_{0,\infty}$ , and, conversely, *each* point of  $D_{0,\infty}$  is an accumulation point of these zeros!

Subsequently, the *rate of convergence*, as a function of  $n$ , of the zeros  $\{z_n(k)\}_{k=1}^n$  to the curve  $D_{0,\infty}$  was studied by Buckholtz [2] who showed, with the notation

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$$\text{dist} [\{z_n(k)\}_{k=1}^n; D_{0,\infty}] := \max_{1 \leq k \leq n} (\text{dist} [z_n(k); D_{0,\infty}]),$$

that

$$(1.2) \quad \text{dist} [\{z_n(k)\}_{k=1}^n; D_{0,\infty}] \leq \frac{2e}{\sqrt{n}} \quad (n \geq 1),$$

which of course implies that

$$(1.3) \quad \overline{\lim}_{n \rightarrow \infty} \{\sqrt{n} \cdot \text{dist} [\{z_n(k)\}_{k=1}^n; D_{0,\infty}]\} \leq 2e \doteq 5.436\ 563.$$

To complement the result of (1.3), it was later shown in Carpenter et al. [4] that

$$(1.4) \quad \underline{\lim}_{n \rightarrow \infty} \{\sqrt{n} \cdot \text{dist} [\{z_n(k)\}_{k=1}^n; D_{0,\infty}]\} = \text{Im } t_1 + \text{Re } t_1 \doteq 0.636\ 657,$$

where, denoting the complementary error function by

$$\text{erfc}(w) := \frac{1}{\sqrt{\pi}} \int_w^\infty e^{-t^2} dt \quad (w \in \mathbb{C}),$$

$t_1$  is the (complex) zero of  $\text{erfc}(w)$ , in the upper half-plane, which is closest to the origin. From the numerical results of Fettis et al. [6], it is known that

$$t_1 \doteq -1.354\ 810 + i1.991\ 467.$$

Thus, if we express the upper bound of (1.2) as  $O(1/\sqrt{n})$ , as  $n \rightarrow \infty$ , then (1.4) shows that this upper bound is *best possible* in the sense that  $1/\sqrt{n}$  cannot be replaced by a function of  $n$  which tends more rapidly to zero, as  $n \rightarrow \infty$ , than does  $1/\sqrt{n}$ . (It is in this sense that we use the term *best possible* in what is to follow.)

It was also shown in [4] that a quantitatively *faster* convergence, of these zeros to  $D_{0,\infty}$ , takes place if one stays uniformly away from the point  $z = 1$ . Specifically, if we cover the point  $z = 1$  with the open disk

$$(1.5) \quad C_\delta := \{z \in \mathbb{C} : |z - 1| < \delta\} \quad (0 < \delta < 1),$$

then it was shown in [4, Theorem 2] that, for each fixed  $\delta$  with  $0 < \delta < 1$ ,

$$(1.6) \quad \text{dist} [\{z_n(k)\}_{k=1}^n \setminus C_\delta; D_{0,\infty}] = O\left(\frac{\ln n}{n}\right) \quad (n \rightarrow \infty),$$

where the constant, implicit in the right-side of (1.6), is dependent only on  $\delta$ .

For a more precise location of the zeros of  $s_n(nz)$ , consider the arc  $D_{0,n}$ , defined in [4] for each  $n \geq 1$  by

$$(1.7) \quad D_{0,n} := \left\{ z \in \mathbb{C} : |ze^{1-z}|^n = \tau_n \sqrt{2\pi n} \left| \frac{1-z}{z} \right|, |z| \leq 1 \text{ and } |\arg z| \geq \cos^{-1}\left(\frac{n-2}{n}\right) \right\},$$

where  $\tau_n$ , from Stirling's formula, is given by the asymptotic series

$$\tau_n := \frac{n!}{n^n e^{-n} \sqrt{2\pi n}} \cong 1 + \frac{1}{12n} + \frac{1}{288n^2} - \frac{139}{51840n^3} + \cdots \quad (n \rightarrow \infty).$$

It was shown in [4, Proposition 3] that  $D_{0,n}$  is a well-defined arc, and it is further shown in [4, Theorem 4] that, for each fixed  $\delta$  with  $0 < \delta < 1$ ,

$$(1.8) \quad \text{dist} [\{z_n(k)\}_{k=1}^n \setminus C_\delta ; D_{0,n}] = O\left(\frac{1}{n^2}\right) \quad (n \rightarrow \infty),$$

so that the arc  $D_{0,n}$  more closely approximates the zeros  $\{z_n(k)\}_{k=1}^n$  of  $s_n(nz)$ , than does the Szegő curve  $D_{0,\infty}$ . The results of (1.6) and (1.8) are both known to be *best possible* (cf. [4]).

Having reviewed the above results for the convergence behavior of the zeros of the normalized partial sums of  $e^z$ , it is of course well known that the partial sums  $s_n(z)$  of  $e^z$  are just the special cases of the  $(n, 0)$ -th Padé approximations to  $e^z$ . The early result of Szegő [10] has subsequently been generalized by Saff and Varga [9] to more general Padé approximations, where one obtains, in the spirit of Szegő, the convergence of the normalized zeros and poles of these Padé approximants to the arcs  $D_{\sigma,\infty}$  and  $E_{\sigma,\infty}$ , defined below in (1.16) and (1.17), in the closed unit disk. The goal of this paper is to obtain the *analogs* of (1.6) and (1.8) in this more general Padé setting, thereby generalizing the results of [4] and [9].

In the remainder of this section, we introduce needed background and known results for this study of Padé rational approximation to  $e^z$ .

Let  $\pi_n$  denote the set of all complex polynomials of degree at most  $n$  ( $n = 0, 1, \dots$ ). For each pair  $(n, \nu)$  of nonnegative integers, the  $(n, \nu)$ -th Padé approximant to  $e^z$  is the rational function  $R_{n,\nu}(z)$  such that

$$(1.9) \quad R_{n,\nu}(z) = \frac{P_{n,\nu}(z)}{Q_{n,\nu}(z)},$$

where

- (i)  $P_{n,\nu}(z) \in \pi_n$ , and  $Q_{n,\nu}(z) \in \pi_\nu$  with  $Q_{n,\nu}(0) = 1$ , and
- (ii)  $e^z - R_{n,\nu}(z) = O(|z|^{n+\nu+1})$  as  $|z| \rightarrow 0$ .

It is well known (cf. Perron [8, p. 433], or Saff and Varga [9, p. 242]) that  $P_{n,\nu}(z)$  and  $Q_{n,\nu}(z)$  of (1.9) are given explicitly, for any pair  $(n, \nu)$  of nonnegative integers  $n$  and  $\nu$ , by

$$(1.10) \quad P_{n,\nu}(z) = \sum_{k=0}^n \frac{(n+\nu-k)!n!z^k}{(n+\nu)!k!(n-k)!},$$

and

$$(1.11) \quad Q_{n,\nu}(z) = \sum_{k=0}^{\nu} \frac{(n+\nu-k)! \nu! (-z)^k}{(n+\nu)!k!(\nu-k)!}.$$

The polynomials  $P_{n,\nu}(z)$  and  $Q_{n,\nu}(z)$  are respectively called the *Padé numerator* and *Padé denominator* of type  $(n, \nu)$  for  $e^z$ . In what follows, we consider, as in [9], any sequence of Padé approximants  $\{R_{n_j, \nu_j}(z)\}_{j=1}^{\infty}$  to  $e^z$  for which there exists a constant  $\sigma$ , with  $0 \leq \sigma < \infty$ , such that

$$(1.12) \quad \lim_{j \rightarrow \infty} n_j = \infty \quad \text{and} \quad \lim_{j \rightarrow \infty} \nu_j / n_j = \sigma.$$

For any  $\sigma$  with  $0 < \sigma < \infty$ , define the two complex numbers

$$(1.13) \quad z_\sigma^\pm := [(1 - \sigma) \pm 2\sqrt{\sigma}i] / (1 + \sigma),$$

which have modulus unity, and consider the complex plane  $\mathbb{C}$  slit along the two rays

$$\mathcal{R}_\sigma := \{z \in \mathbb{C} : z = z_\sigma^+ + i\tau \quad \text{or} \quad z = z_\sigma^- - i\tau, \quad \text{for all } \tau \geq 0\},$$

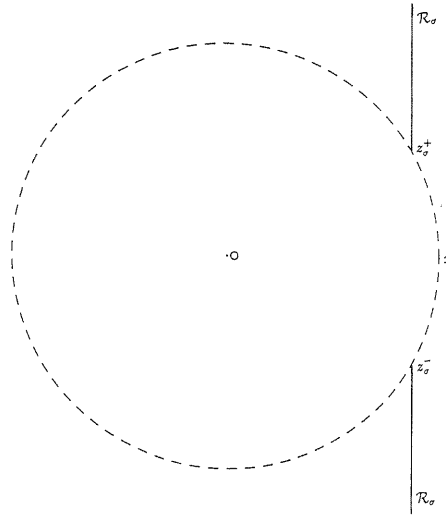


Fig. 1. The cut plane  $\mathbb{C} \setminus \mathcal{R}_\sigma$

as shown in Fig. 1.

With

$$\lambda_\sigma := \frac{1 - \sigma}{1 + \sigma},$$

the function  $g_\sigma(z)$ , defined by

$$(1.14) \quad g_\sigma(z) := \sqrt{1 + z^2 - 2\lambda_\sigma z},$$

has  $z_\sigma^\pm$  as branch points, which are the finite extremities of  $\mathcal{R}_\sigma$ . On setting  $g_\sigma(0) := 1$  and on extending  $g_\sigma(z)$  analytically on the doubly slit domain  $\mathbb{C} \setminus \mathcal{R}_\sigma$ , then  $g_\sigma(z)$  is analytic and single-valued on  $\mathbb{C} \setminus \mathcal{R}_\sigma$ . It turns out that  $1 \pm z + g_\sigma(z)$  does not vanish on  $\mathbb{C} \setminus \mathcal{R}_\sigma$  (cf. Saff and Varga [9, p. 244]).

Next, define  $(1 + z + g_\sigma(z))^{2/(1+\sigma)}$  and  $(1 - z + g_\sigma(z))^{2\sigma/(1+\sigma)}$  by requiring that their values at  $z = 0$  be  $2^{2/(1+\sigma)}$  and  $2^{2\sigma/(1+\sigma)}$ , respectively, and by analytic continuation. These functions are also analytic and single-valued on  $\mathbb{C} \setminus \mathcal{R}_\sigma$ . For  $0 < \sigma < \infty$ , define the function  $w_\sigma(z)$  by

$$(1.15) \quad w_\sigma(z) := \frac{4\sigma^{\sigma/(1+\sigma)} z e^{g_\sigma(z)}}{(1 + \sigma)[1 + z + g_\sigma(z)]^{2/(1+\sigma)} [1 - z + g_\sigma(z)]^{2\sigma/(1+\sigma)}} \\ (0 < \sigma < \infty).$$

Then,  $w_\sigma(z)$  is analytic and single-valued on  $\mathbb{C} \setminus \mathcal{R}_\sigma$ , and is also univalent (and starlike) in  $|z| < 1$  (cf. [9, p. 251]). We remark, on letting  $\sigma \rightarrow 0$  in (1.15), that it is known (cf. [9, p. 244]) that  $w_0(z) := \lim_{\sigma \rightarrow 0} w_\sigma(z)$  satisfies

$$w_0(z) = z e^{1-z} \quad (\text{for } |z| \leq 1),$$

which connects the above discussion to the Szegő curve of (1.1).

With the function  $w_\sigma(z)$  of (1.15) for  $0 < \sigma < \infty$ , the two Jordan arcs,  $D_{\sigma, \infty}$  and  $E_{\sigma, \infty}$ , are defined by

$$(1.16) \quad D_{\sigma, \infty} := \left\{ z \in \mathbb{C} : |w_\sigma(z)| = 1, |z| \leq 1, \text{ and } |\arg z| \geq \cos^{-1} \left( \frac{1-\sigma}{1+\sigma} \right) \right\},$$

and

$$(1.17) \quad E_{\sigma, \infty} := \left\{ z \in \mathbb{C} : |w_\sigma(z)| = 1, |z| \leq 1 \text{ and } |\arg z| \leq \cos^{-1} \left( \frac{1-\sigma}{1+\sigma} \right) \right\},$$

where  $-\pi \leq \arg z < \pi$ , and these arcs are symmetric with respect to the real axis. If

$$J_{\sigma, \infty} := \{z \in \mathbb{C} : |w_\sigma(z)| = 1 \text{ and } |z| \leq 1\},$$

then we see from (1.16) and (1.17) that

$$J_{\sigma, \infty} = D_{\sigma, \infty} \cup E_{\sigma, \infty} \quad (0 < \sigma < \infty).$$

Thus, for  $0 < \sigma < \infty$ ,  $J_{\sigma, \infty}$  is a Jordan curve, consisting of the two Jordan arcs  $D_{\sigma, \infty}$  and  $E_{\sigma, \infty}$ , and  $J_{\sigma, \infty}$  lies interior to the unit disk, except for the endpoints of these arcs, namely,  $z_\sigma^\pm$ , which lie on the boundary of the unit disk. (For  $\sigma = 0$ ,  $J_{0, \infty}$  reduces to the Szegő curve  $D_{0, \infty}$  of (1.1).)

With the arcs of (1.16) and (1.17), we have the following known result of [9]:

**Theorem A.** For any  $\sigma$  with  $0 \leq \sigma < \infty$ , consider any sequence of Padé approximants  $\{R_{n_j, \nu_j}(z)\}_{j=1}^\infty$  to  $e^z$  for which (1.12) holds. Then,

- (i)  $z$  is a limit point of the zeros of the normalized Padé approximants  $\{R_{n_j, \nu_j}((n_j + \nu_j)z)\}_{j=1}^\infty$  if and only if  $z \in D_{\sigma, \infty}$ .
- (ii) If  $0 < \sigma < \infty$ , then  $z$  is a limit point of the poles of the normalized Padé approximants  $\{R_{n_j, \nu_j}((n_j + \nu_j)z)\}_{j=1}^\infty$  if and only if  $z \in E_{\sigma, \infty}$ .

The special case of (i) of Theorem A with  $\sigma = 0$  and, in addition with  $n_j = j$  and  $\nu_j = 0$  for all  $j \geq 1$ , reduces to Szegő's result (cf. [9]). As previously mentioned, the convergence rates of the zeros in this case has been treated in detail in Carpenter et al. [4].

Since the polynomials of (1.10) and (1.11) satisfy the obvious identity

$$(1.18) \quad Q_{n_j, \nu_j}(z) = P_{\nu_j, n_j}(-z),$$

it suffices then to investigate only the convergence behavior of the zeros of the normalized Padé approximants  $R_{n_j, \nu_j}((n_j + \nu_j)z)$ , or equivalently, only the convergence behavior of the zeros of the normalized Padé numerators  $P_{n_j, \nu_j}((n_j + \nu_j)z)$ . Clearly, all subsequent results for the zeros easily translate into results for the poles via (1.18).

## 2. Statements of new results

For any sequence of Padé approximants  $\{R_{n_j, \nu_j}(z)\}_{j=1}^\infty$  to  $e^z$  which satisfies (1.12) with  $\sigma > 0$ , Theorem A above gives the precise location of the limit points of the zeros and poles of the normalized Padé approximants  $\{R_{n_j, \nu_j}((n_j + \nu_j)z)\}_{j=1}^\infty$ . Our interest here is in determining the *convergence behavior* of zeros and poles of these normalized Padé approximants, as this would extend the results of [4] which are explicitly given for the case  $\sigma = 0$ . But, we note that the results of [4] were specifically

determined for the special sequence  $\{(n_j, \nu_j)\}_{j=1}^\infty$  with  $\nu_j := 0$  and  $n_j := j$  for all  $j \geq 1$ , so that

$$\nu_j/n_j = \sigma (= 0) \text{ for each } j \geq 1.$$

On the other hand, when considering rational Padé approximants to  $e^z$  which are not polynomials, the second condition of (1.12) may hold, for the case  $\sigma > 0$ , for sequences  $\{(n_j, \nu_j)\}_{j=1}^\infty$  having exceedingly *slow* convergence of  $\nu_j/n_j$  to  $\sigma$ , as  $j \rightarrow \infty$ . To indicate this, consider the sequence of pairs of positive integers  $\{(n_j, \nu_j)\}_{j=3}^\infty$  defined by

$$\nu_j := \left[ \left[ j \left( 1 + \frac{10}{\ln \ln j} \right) \right] \right] \text{ and } n_j := j, \text{ for all } j \geq 3,$$

(where  $[[x]]$  denotes the integer part of  $x$ ), so that (1.12) is satisfied for  $\sigma = 1$ . But, for  $m := 10^6$ , we have

$$n_m = 10^6 \text{ and } \nu_m = 4,808,374; \text{ whence, } \frac{\nu_m}{n_m} = 4.808\ 374,$$

which is far removed from the limiting value  $\sigma = 1$ . In this example, measuring the distance of the zeros or poles of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$ , with respect to the limiting arc  $D_{1, \infty}$  or  $E_{1, \infty}$  of Theorem A, is of little value for  $j = 10^6$ .

Instead, we measure the distance of the zeros  $\{z_{n_j, \nu_j}(k)\}_{k=1}^{n_j}$  of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$  from the Jordan arc  $D_{\sigma_j, \infty}$ , where

$$(2.1) \quad \sigma_j := \nu_j/n_j \text{ for all } j \geq 1,$$

and where  $D_{\sigma_j, \infty}$  is the arc of (1.16) with  $\sigma$  replaced by  $\sigma_j$  of (2.1). Similarly,  $z_{\sigma_j}^\pm$  are defined from (1.13) with  $\sigma$  replaced by  $\sigma_j$ , and, for a fixed  $\delta$  with  $0 < \delta < 1$ , we set

$$(2.2) \quad \tilde{C}_{\delta, \sigma_j} := \{z \in \mathbb{C} : |z - z_{\sigma_j}^+| < \delta\} \cup \{z \in \mathbb{C} : |z - z_{\sigma_j}^-| < \delta\},$$

for all  $j \geq 1$ . We note that  $\tilde{C}_{\delta, \sigma_j}$ , consisting of two disks, is the analog of  $C_\delta$  of (1.5) for the case  $\sigma = 0$ .

With the above definition, our first result (to be proved in Sect.3), which is patterned after the result of (1.6), can be stated as

**Theorem 1.** Consider any sequence of Padé approximants  $\{R_{n_j, \nu_j}(z)\}_{j=1}^\infty$  to  $e^z$  for which

$$(2.3) \quad \lim_{j \rightarrow \infty} n_j = \infty \text{ and } \lim_{j \rightarrow \infty} \nu_j/n_j = \sigma, \text{ where } 0 < \sigma < \infty.$$

If  $\{z_{n_j, \nu_j}(k)\}_{k=1}^{n_j}$  denotes the zeros of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$ , then for each fixed  $\delta$  with  $0 < \delta < 1$ ,

$$(2.4) \quad \text{dist} [\{z_{n_j, \nu_j}(k)\}_{k=1}^{n_j} \setminus \tilde{C}_{\delta, \sigma_j}; D_{\sigma_j, \infty}] = O\left(\frac{1}{n_j + \nu_j}\right) \quad (j \rightarrow \infty).$$

Moreover, the result of (2.4) is best possible.

We remark that the zeros of  $P_{n_j, \nu_j}((n_j + \nu_j)z)$  in (2.4), which are outside of the disks of  $\tilde{C}_{\delta, \sigma_j}$ , are measured relative to the arc  $D_{\sigma_j, \infty}$ , where both  $\tilde{C}_{\delta, \sigma_j}$  and  $D_{\sigma_j, \infty}$  in general vary with  $j$ . Of course, there is a case where  $D_{\sigma_j, \infty}$  is a *fixed* arc for all  $j \geq 1$ , and this is covered in the following immediate corollary of Theorem 1.

**Corollary 2.** *If, under the hypothesis of (2.3) of Theorem 1,  $\sigma$  is a positive rational number and if the associated sequence of nonnegative pairs of integers  $\{(n_j, \nu_j)\}_{j=1}^{\infty}$  satisfies*

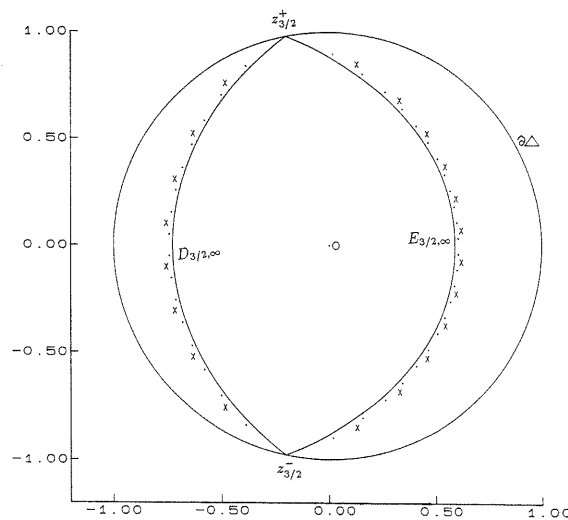
$$(2.5) \quad \nu_j/n_j = \sigma \text{ for all } j \geq 1,$$

*then for each fixed  $\delta$  with  $0 < \delta < 1$ ,*

$$(2.6) \quad \text{dist} [\{z_{n_j, \nu_j}(k)\}_{k=1}^{n_j} \setminus \tilde{C}_{\delta, \sigma}; D_{\sigma, \infty}] = O\left(\frac{1}{n_j + \nu_j}\right) \quad (j \rightarrow \infty).$$

*Moreover, the result of (2.6) is best possible.*

We remark that the case  $\sigma = 0$  of the zeros of the normalized partial sums of  $e^z$ , as discussed in Sect. 1, are also measured, as in Corollary 2, against a *fixed* curve,  $D_{0, \infty}$ , but we note with interest that the result of (2.6) for  $0 < \sigma < \infty$ , which is the analog of (1.6), now *eliminates* the  $(\ln n)$  term appearing in (1.6). We also remark that essentially the special case  $\sigma = 1$  of Corollary 2 is obtained (via a different technique) in [3].



**Fig. 2.** Zeros and poles of  $R_{8,12}(20z)$  and  $R_{16,24}(40z)$ , and the arcs  $D_{3/2, \infty}$  and  $E_{3/2, \infty}$

To illustrate the results of Theorem 1 and Corollary 2, we have graphed in Fig. 2 the 8 zeros and 12 poles of  $R_{8,12}(20z)$ , marked by  $\times$ 's, as well as the 16 zeros and 24 poles of  $R_{16,24}(40z)$ , marked by dots, in relation to the arcs  $D_{3/2, \infty}$  and  $E_{3/2, \infty}$ , for the case  $\sigma = 3/2$ . Note that the zeros and poles of  $R_{8,12}(20z)$  are, respectively, about *twice* as far from the curves  $D_{3/2, \infty}$  and  $E_{3/2, \infty}$ , as are the zeros and poles of  $R_{16,24}(40z)$ , which is in agreement with (2.4) of Theorem 1. Similar results are shown in Fig. 3, for  $R_{10,10}(20z)$  and  $R_{20,20}(40z)$  for the case  $\sigma = 1$ , and in Fig. 4, for  $R_{12,8}(20z)$  and  $R_{24,16}(40z)$  for the case  $\sigma = 2/3$ .

For our next result, we need some additional notation. As in [5, p. 22], for  $0 < \sigma < \infty$  we set

$$(2.7) \quad \hat{N}_\sigma(z) := \frac{g_\sigma(z) + 1 - \lambda_\sigma \cdot z}{z \sqrt{1 - \lambda_\sigma^2}} \quad (z \in \mathbb{C} \setminus (\mathcal{R}_\sigma \cup \{0\})),$$

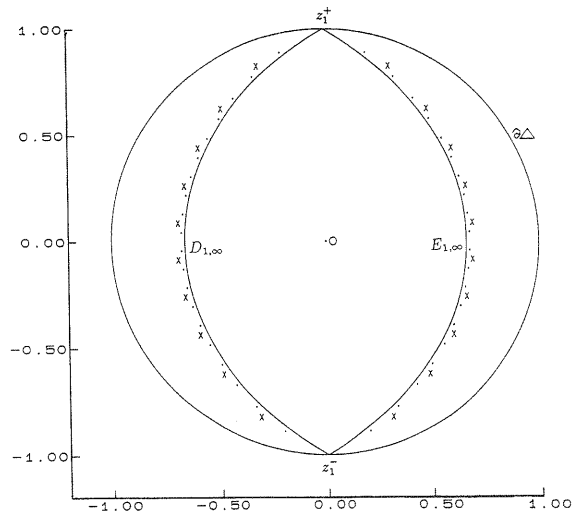


Fig. 3. Zeros and poles of  $R_{10,10}(20z)$  and  $R_{20,20}(40z)$ , and the arcs  $D_{1,\infty}$  and  $E_{1,\infty}$

where it is known that  $\hat{N}_\sigma(z)$  is analytic and single-valued on  $\mathbb{C} \setminus (\mathcal{R}_\sigma \cup \{0\})$ . Then, in analogy with the arc  $D_{0,n}$  of (1.7), we define, for each pair  $(n_j, \nu_j)$  of positive integers, the arcs

$$(2.8) \quad D_{\sigma_j, n_j + \nu_j} := \left\{ z \in \mathbb{C} : |w_{\sigma_j}(z)|^{n_j + \nu_j} = |\hat{N}_{\sigma_j}(z)|, |z| \leq 1, \text{ and } |\arg z| \geq \cos^{-1} \left( \frac{n_j - \nu_j - 2}{n_j + \nu_j} \right) \right\}$$

and

$$(2.9) \quad E_{\sigma_j, n_j + \nu_j} := \left\{ z \in \mathbb{C} : |w_{\sigma_j}(z)|^{n_j + \nu_j} = |\hat{N}_{\sigma_j}(z)|, |z| \leq 1, \text{ and } |\arg z| \leq \cos^{-1} \left( \frac{n_j - \nu_j + 2}{n_j + \nu_j} \right) \right\},$$

where  $\sigma_j := \nu_j/n_j$  and where  $-\pi \leq \arg z < +\pi$ . It is shown in Sect. 4 that these arcs of (2.8) and (2.9) are *well-defined*.

With the above definitions, our next result (to be proved in Sect. 5), which is patterned after the result of (1.8), can be stated as

**Theorem 3.** *Under the hypothesis of (2.3) of Theorem 1,*

$$(2.10) \quad \text{dist} \left[ \{z_{n_j, \nu_j}(k)\}_{k=1}^{n_j} \setminus \tilde{\mathcal{C}}_{\delta, \sigma_j}; D_{\sigma_j, n_j + \nu_j} \right] = O \left( \frac{1}{(n_j + \nu_j)^2} \right) \quad (j \rightarrow \infty).$$

We remark that a special case of (2.10) of Theorem 3 was previously established in de Bruin et al. [5]. Specifically, for the case  $\sigma = 1$  and  $n_j$  odd for all  $j \geq 1$  of (2.3), it was shown in [5, eq. (9.31)] that the negative real zero  $z_{n_j, \nu_j}$  of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$  satisfies

$$(2.11) \quad z_{n_j, \nu_j} = \hat{z}_{n_j, \nu_j} + O \left( \frac{1}{(n_j + \nu_j)^2} \right) \quad (j \rightarrow \infty),$$

where  $\hat{z}_{n_j, \nu_j}$  denotes the real point of the arc  $D_{\sigma_j, n_j + \nu_j}$ . We also remark that the result of Theorem 3, for essentially the case  $\sigma_j = 1$ , is obtained in [3].



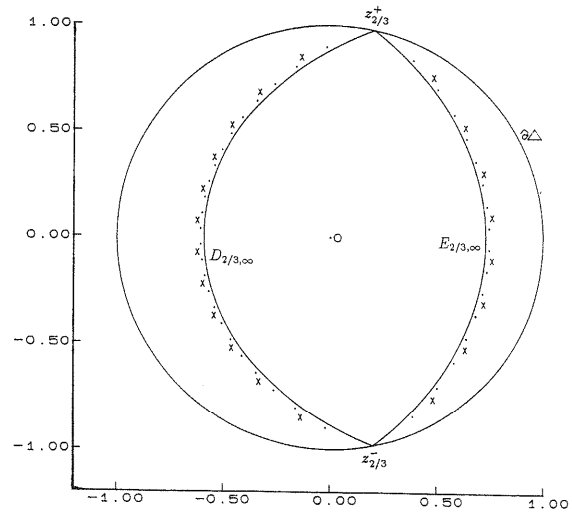


Fig. 4. Zeros and poles of  $R_{12,8}(20z)$  and  $R_{24,16}(40z)$ , and the arcs  $D_{2/3, \infty}$  and  $E_{2/3, \infty}$

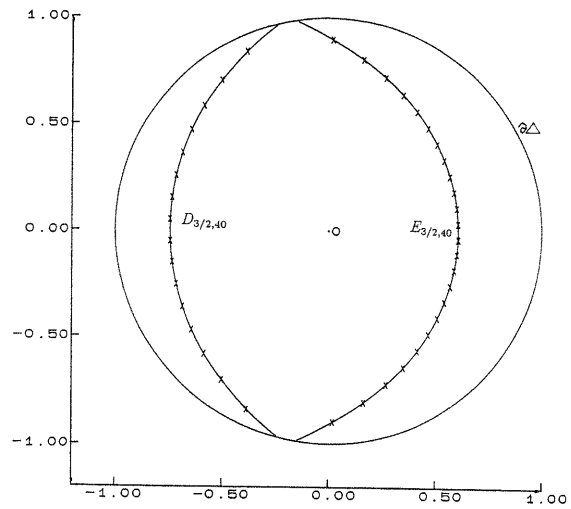


Fig. 5. Zeros and poles of  $R_{16,24}(40z)$ , and the arcs  $D_{3/2, 40}$  and  $E_{3/2, 40}$

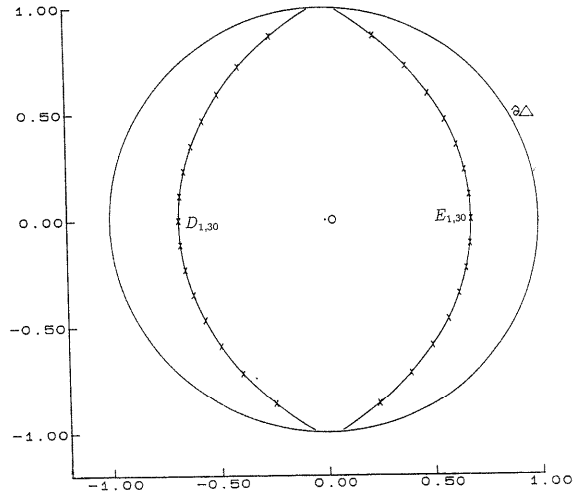


Fig. 6. Zeros and poles of  $R_{15,15}(30z)$ , and the arcs  $D_{1,30}$  and  $E_{1,30}$

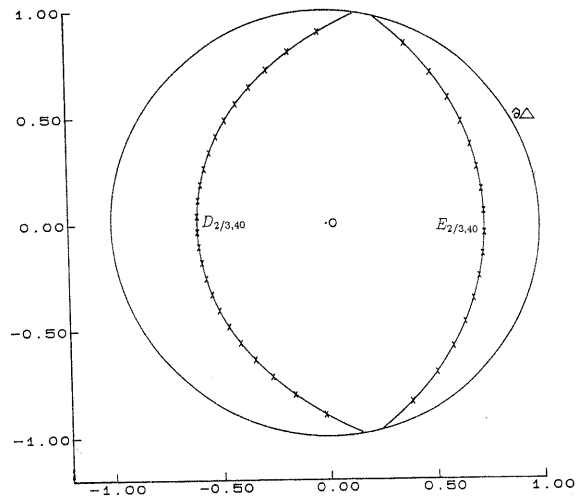


Fig. 7. Zeros and poles of  $R_{24,16}(40z)$ , and the arcs  $D_{2/3,40}$  and  $E_{2/3,40}$

To illustrate the result of Theorem 3, we have graphed in Figs. 5–7 the following cases. For the normalized Padé approximant  $R_{16,24}(40z)$  to  $e^z$ , for which  $n_j = 16$  and  $\nu_j = 24$  and  $\sigma_j = 3/2$ , we show in Fig. 5 the curves  $D_{3/2,40}$  and  $E_{3/2,40}$ , along with the 16 zeros and 24 poles (marked by the  $\times$ 's) of  $R_{16,24}(40z)$ . Figs. 6 and 7 show the corresponding results for  $R_{15,15}(30z)$  and  $R_{24,16}(40z)$ . Up to plotting accuracy, it appears that the zeros and poles of these normalized Padé approximants *lie on* the respective arcs  $D_{\sigma_j, n_j + \nu_j}$  and  $E_{\sigma_j, n_j + \nu_j}$ !

### 3. Proof of Theorem 1

We begin with the following

**Lemma 1.** For any  $\tau$  with  $0 < \tau < \infty$ , consider the sectorial set

$$S_\tau := \left\{ z = re^{i\psi} : 0 < r \leq 1 \text{ and } \cos^{-1} \left( \frac{1-\tau}{1+\tau} \right) \leq \psi \leq 2\pi - \cos^{-1} \left( \frac{1-\tau}{1+\tau} \right) \right\}, \quad (3.1)$$

as shown in Fig. 8. Then,

$$\min\{|\hat{N}_\tau(z)| : z \in S_\tau\} = 1, \quad (3.2)$$

with equality holding only at the points  $z_\tau^\pm$ . Thus (cf. (2.2)), for any fixed  $\delta$  with  $0 < \delta < 1$ ,

$$\min\{|\hat{N}_\tau(z)| : z \in S_\tau \setminus \tilde{C}_{\delta, \tau}\} > 1. \quad (3.3)$$

*Proof.* From the definitions of (2.7) and (1.13) and the fact that  $g_\tau(z_\tau^\pm) = 0$ , it is readily verified that

$$|\hat{N}_\tau(z_\tau^\pm)| = 1. \quad (3.4)$$

Next, as mentioned in de Bruin et al. [5, p. 22],  $|\hat{N}_\tau(e^{i\psi})|$  is strictly increasing in  $\psi$  on the interval  $[\cos^{-1}(\frac{1-\tau}{1+\tau}), \pi]$  and  $|\hat{N}_\tau(e^{i\psi})|$  is strictly decreasing in  $\psi$  on the interval  $[\pi, 2\pi - \cos^{-1}(\frac{1-\tau}{1+\tau})]$ . In addition (cf. [5, p.22]), for any fixed  $\psi$  with  $\cos^{-1}(\frac{1-\tau}{1+\tau}) \leq \psi \leq 2\pi - \cos^{-1}(\frac{1-\tau}{1+\tau})$ ,  $|\hat{N}_\tau(re^{i\psi})|$  is strictly decreasing in  $r$  on the interval  $0 < r \leq 1$ , where we note from (2.7) that  $|\hat{N}_\tau(0)| = +\infty$ . With (3.4) and the definition of (3.1), we see geometrically that (3.2) is valid, where from (3.4), equality holds in (3.2) only at the points  $z_\tau^\pm$ . Finally, since  $\tilde{C}_{\delta, \tau}$ , from its definition in (2.2), contains the points  $z_\tau^\pm$ , then (3.3) follows directly from (3.2).  $\square$

This brings us to the

*Proof of Theorem 1.* With the hypothesis of (2.3) of Theorem 1, it is known (cf. [5, eq. (9.24)] and [9, eq. (4.30)]) that if  $z$  is any zero of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$ , then

$$|w_{\sigma_j}(z)|^{n_j + \nu_j} = |\hat{N}_{\sigma_j}(z)| \cdot \left\{ 1 + O\left(\frac{1}{n_j + \nu_j}\right) \right\} \quad (j \rightarrow \infty), \quad (3.5)$$

uniformly on any compact subset of  $\mathbb{C} \setminus (\mathcal{R}_\sigma \cup \{0\})$ . Furthermore, it is known (cf. [9, Theorem 1.1]) that, for  $\nu_j \geq 0$  and  $n_j \geq 2$ , all zeros of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$  must lie in the infinite sector

$$\left\{ z \in \mathbb{C} : |\arg z| > \cos^{-1} \left( \frac{n_j - \nu_j - 2}{n_j + \nu_j} \right) \right\},$$

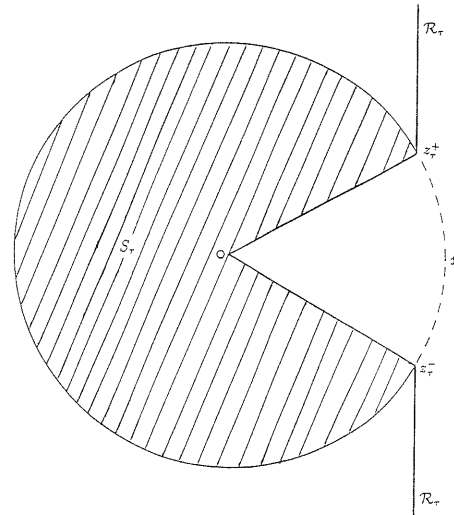


Fig. 8. The sectorial set  $S_\tau$

and that (cf. [9, Theorem 2.3]) these zeros must have a positive density in the sectorial set  $S_\sigma$ .

For the zero  $z$  of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$ , we may assume from the above discussion that  $z$  is in  $S_\sigma$ , and that  $|z| < 1$ . Writing  $z = re^{i\theta}$ , let  $\tilde{z} := \tilde{r}e^{i\theta}$  be such that  $|w_{\sigma_j}(\tilde{z})| = 1$ , i.e.,  $\tilde{z} \in D_{\sigma_j, \infty}$ . On defining  $z - \tilde{z} =: se^{i\theta}$ ,  $|s|$  then measures the distance between  $z$  and  $\tilde{z}$ . Because  $w_{\sigma_j}(z)$  is analytic and single-valued on  $\mathbb{C} \setminus \mathcal{R}_{\sigma_j}$ , the Taylor expansion of  $w_{\sigma_j}(z)$  about the point  $\tilde{z}$  is

$$(3.6) \quad w_{\sigma_j}(z) = w_{\sigma_j}(\tilde{z}) + se^{i\theta}w'_{\sigma_j}(\tilde{z}) + O(s^2),$$

and, since the nearest singularities of  $w_{\sigma_j}(z)$  to  $\tilde{z}$  in  $\mathbb{C} \setminus \mathcal{R}_{\sigma_j}$  occur at the points  $z_{\sigma_j}^\pm$ , this Taylor expansion is convergent in the open disk with center  $\tilde{z}$  and radius

$$\min\{|\tilde{z} - z_{\sigma_j}^+|; |\tilde{z} - z_{\sigma_j}^-|\}.$$

With [9, eq. (4.2)], it is known in general that

$$(3.7) \quad w'_\tau(u) = w_\tau(u)g_\tau(u)/u \quad (\text{any } 0 \leq \tau < \infty, \text{ any } u \in \mathbb{C} \setminus \mathcal{R}_\tau).$$

Thus, with  $\tau = \sigma_j$  and  $u = \tilde{z}$  in (3.7), we can express (3.6), on factoring out  $w_{\sigma_j}(\tilde{z})$ , as

$$(3.8) \quad w_{\sigma_j}(z) = w_{\sigma_j}(\tilde{z}) \left\{ 1 + \frac{sg_{\sigma_j}(\tilde{z})}{\tilde{r}} + O(s^2) \right\},$$

where, since  $w_{\sigma_j}(0) = 0$  from (1.15), this modified Taylor expansion in (3.8) is now convergent in the open disk with center  $\tilde{z}$  and radius

$$(3.9) \quad \min\{|\tilde{z} - z_{\sigma_j}^+|; |\tilde{z} - z_{\sigma_j}^-|; |\tilde{z}|\}.$$

Similarly, we expand  $\hat{N}_{\sigma_j}(z)$  in a Taylor series about  $\tilde{z}$ . Because in general (cf. [5, eq.(9.23)]),

$$(3.10) \quad \hat{N}'_{\tau}(u) = -\hat{N}_{\tau}(u)/(g_{\tau}(u) \cdot u) \quad (\text{any } 0 < \tau < \infty, \text{ any } u \in \mathbb{C} \setminus (\mathcal{R}_{\tau} \cup \{0\})),$$

the Taylor expansion for  $\hat{N}_{\sigma_j}(z)$  can be analogously expressed as

$$(3.11) \quad \hat{N}_{\sigma_j}(z) = \hat{N}_{\sigma_j}(\tilde{z}) \left\{ 1 - \frac{s}{\tilde{r}g_{\sigma_j}(\tilde{z})} + O(s^2) \right\},$$

where, as  $\hat{N}_{\sigma_j}(z)$  is analytic and single-valued in  $\mathbb{C} \setminus (\mathcal{R}_{\sigma_j} \cup \{0\})$ , the modified Taylor expansion of (3.11) is convergent in the same open disk with center  $\tilde{z}$  and radius given by (3.9). With the expressions of (3.8) and (3.11), we derive from (3.5), since  $|w_{\sigma_j}(\tilde{z})| = 1$ , that

$$\begin{aligned} & \left\{ 1 + \frac{s}{\tilde{r}} \operatorname{Re}(g_{\sigma_j}(\tilde{z})) + O(s^2) \right\}^{n_j + \nu_j} \\ &= |\hat{N}_{\sigma_j}(\tilde{z})| \left\{ 1 - \frac{s}{\tilde{r}} \operatorname{Re} \left( \frac{1}{g_{\sigma_j}(\tilde{z})} \right) + O(s^2) \right\} \left\{ 1 + O \left( \frac{1}{n_j + \nu_j} \right) \right\}. \end{aligned}$$

On taking logarithms in the above display and on dividing by  $(n_j + \nu_j)$ , we obtain

$$\begin{aligned} & \ln \left\{ 1 + \frac{s}{\tilde{r}} \operatorname{Re}(g_{\sigma_j}(\tilde{z})) + O(s^2) \right\} \\ &= \frac{\ln |\hat{N}_{\sigma_j}(\tilde{z})|}{(n_j + \nu_j)} + \frac{1}{(n_j + \nu_j)} \ln \left\{ 1 - \frac{s}{\tilde{r}} \operatorname{Re} \left( \frac{1}{g_{\sigma_j}(\tilde{z})} \right) + O(s^2) \right\} \\ &+ O \left( \frac{1}{(n_j + \nu_j)^2} \right), \end{aligned}$$

and for  $s$  small, this reduces to

$$\frac{s}{\tilde{r}} \operatorname{Re}(g_{\sigma_j}(\tilde{z})) + O(s^2) = \frac{\ln |\hat{N}_{\sigma_j}(\tilde{z})|}{(n_j + \nu_j)} - \frac{s \operatorname{Re}(1/g_{\sigma_j}(\tilde{z}))}{(n_j + \nu_j)} + O \left( \frac{1}{(n_j + \nu_j)^2} \right).$$

Thus, we see that

$$(3.12) \quad s = \frac{(\ln |\hat{N}_{\sigma_j}(\tilde{z})|) \tilde{r}}{(n_j + \nu_j) \cdot \operatorname{Re}(g_{\sigma_j}(\tilde{z}))} + O \left( \frac{1}{(n_j + \nu_j)^2} \right) \quad (j \rightarrow \infty).$$

Now from [9, eq. (4.1)], it is known that  $\operatorname{Re}(g_{\tau}(z)) > 0$  on  $\mathbb{C} \setminus \mathcal{R}_{\tau}$ , and as  $g_{\tau}(z)$  vanishes only at its branch points  $z_{\tau}^{\pm}$ , then  $1/\operatorname{Re}(g_{\tau}(z))$  is uniformly bounded at all points of the unit disk not in  $\tilde{C}_{\delta, \tau}$ . Next, since  $|N_{\tau}(z)| > 1$  on  $S_{\tau} \setminus \tilde{C}_{\delta, \tau}$  from Lemma 1 and since (cf. (1.16))  $D_{\tau, \infty}$  never passes through  $z = 0$  because  $w_{\tau}(0) = 0$ , it follows from (3.12) that

$$(3.13) \quad s = O \left( \frac{1}{n_j + \nu_j} \right) \quad \text{for any zero } z \text{ of } R_{n_j + \nu_j}((n_j + \nu_j)z) \text{ not in } \tilde{C}_{\delta, \sigma_j}$$

( $j \rightarrow \infty$ ). But as  $|s|$  measures the distance from  $z$  to a particular point,  $\tilde{z}$ , of  $D_{\sigma_j, \infty}$ , then  $\operatorname{dist}[z; D_{\sigma_j, \infty}] \leq |s|$  for any zero  $z$  of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$  not in  $\tilde{C}_{\delta, \sigma_j}$  and it follows from (3.13) that

$$\operatorname{dist} \left[ \{z_{n_j, \nu_j}(k)\}_{k=1}^{n_j} \setminus \tilde{C}_{\delta, \sigma_j}; D_{\sigma_j, \infty} \right] = O \left( \frac{1}{n_j + \nu_j} \right) \quad (j \rightarrow \infty),$$

which is the desired result of (2.4) of Theorem 1.

We also remark that since all the factors, appearing in the first term on the right in (3.12), are *positive*, then  $s > 0$  (for all  $j$  sufficiently large), which means, from our construction, that the associated zeros of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$  must lie to the *left* of the arc  $D_{\sigma_j, \infty}$ . Similarly, because of (1.18), the associated poles of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$  must lie to the *right* of the arc  $E_{\sigma_j, \infty}$ . This can be explicitly seen in Figs. 2-4.

Finally, to show that the result of (2.4) of Theorem 1 is *sharp*, the multiplier of  $(n_j + \nu_j)^{-1}$  in the first term on the right in (3.12) is but a special case of  $\sigma_j = \tau$  of

$$\frac{(\ln |\hat{N}_\tau(\tilde{z})|) \cdot |\tilde{z}|}{\operatorname{Re}(g_\tau(\tilde{z}))}, \text{ where } \tilde{z} \in D_{\tau, \infty} \setminus \tilde{C}_{\delta, \tau}.$$

But from the discussion above, it also follows that, for any  $\tau$  with  $0 < \tau < \infty$  and any fixed  $\delta$  with  $0 < \delta < 1$ , there exist constants  $M_1(\tau)$  and  $M_2(\tau, \delta)$  such that

$$(3.14) \quad 0 < M_1(\tau) \leq \frac{(\ln |\hat{N}_\tau(\tilde{z})|) \cdot |\tilde{z}|}{\operatorname{Re}(g_\tau(\tilde{z}))} \leq M_2(\tau, \delta) \text{ for all } \tilde{z} \in D_{\tau, \infty} \setminus \tilde{C}_{\delta, \tau}.$$

Hence, because  $\sigma_j \rightarrow \sigma$  as  $j \rightarrow \infty$  (where  $0 < \sigma < \infty$ ) and because of the bounds of (3.14), it follows that the first term on the right in (3.12) is *exactly* of order  $(n_j + \nu_j)^{-1}$ , as  $j \rightarrow \infty$ , which shows that the result (2.4) of Theorem 1 is sharp.  $\square$

#### 4. The arcs $D_{\sigma_j, n_j + \nu_j}$ and $E_{\sigma_j, n_j + \nu_j}$

Here, we show that the arcs  $D_{\sigma_j, n_j + \nu_j}$  and  $E_{\sigma_j, n_j + \nu_j}$ , defined in (2.8) and (2.9), are well-defined for  $j$  sufficiently large, where we assume, as in Theorem 1, that (2.3) is valid. Because the treatment of the arcs  $E_{\sigma_j, n_j + \nu_j}$  is similar, we consider below only the arcs  $D_{\sigma_j, n_j + \nu_j}$ .

For a given  $\tau$  with  $0 < \tau < \infty$ , consider the function defined by

$$(4.1) \quad U_{\tau, m}(z) := (w_\tau(z))^m / \hat{N}_\tau(z)$$

for any positive integer  $m$ . It can be verified that  $U_{\tau, m}(z)$  is analytic and single-valued on  $\mathbb{C} \setminus \mathcal{R}_\tau$ . On fixing any  $\theta$  with

$$\cos^{-1} \left( \frac{1 - \tau}{1 + \tau} \right) < \theta < 2\pi - \cos^{-1} \left( \frac{1 - \tau}{1 + \tau} \right),$$

we know from the discussion in Sect. 3 that  $|U_{\tau, m}(re^{i\theta})|$  is strictly increasing on the interval  $0 \leq r \leq 1$ , where  $U_{\tau, m}(0) = 0$ . In addition, since the arc  $D_{\tau, \infty}$  lies completely in the open unit disk (except for its endpoints), we have that  $|w_\tau(e^{i\theta})| > 1$ , and from Lemma 1, we similarly have  $|\hat{N}_\tau(e^{i\theta})| > 1$ . It follows from (4.1) that, for *all*  $m$  sufficiently large, say  $m \geq m_o(\tau, \theta)$ ,

$$|U_{\tau, m}(e^{i\theta})| > 1 \quad (m \geq m_o(\tau, \theta)).$$

But the strict increase of  $|U_{\tau, m}(re^{i\theta})|$ , as a function of  $r$  on  $[0, 1]$ , gives that there is a unique  $\hat{r} = \hat{r}(\theta, \tau, m)$  with  $0 < \hat{r} < 1$ , such that

$$|U_{\tau, m}(\hat{r}e^{i\theta})| = 1,$$

i.e., from (2.8),  $\hat{r}e^{i\theta}$  necessarily lies on the arc  $D_{\tau,m}$ . It is also evident that  $\hat{r}(\theta, \tau, m') < \hat{r}(\theta, \tau, m)$  for  $m' > m \geq m_o(\tau, \theta)$ , since, by definition,  $|w_{\tau}(\hat{r}e^{i\theta})|^m = |\hat{N}_{\tau}(\hat{r}e^{i\theta})| > 1$ , where the last inequality follows from Lemma 1.

Next, with the assumption of (2.3) in Theorem 1, we see that the arcs  $D_{\sigma_j, \infty}$ , defined in (1.16), converge, uniformly as  $j \rightarrow \infty$ , to the fixed arc  $D_{\sigma, \infty}$ , where  $0 < \sigma < \infty$ . But as the arcs  $D_{\sigma_j, \infty}$  lie in the open unit disk (with the exception of its endpoints) for any  $j$ , then for any  $\epsilon > 0$  sufficiently small and for any  $\theta$  satisfying  $\cos^{-1}(\frac{1-\sigma}{1+\sigma}) + \epsilon \leq \theta \leq 2\pi - \cos^{-1}(\frac{1-\sigma}{1+\sigma}) - \epsilon$ , it follows that  $|w_{\sigma_j}(e^{i\theta})| > 1$  for all  $j$  sufficiently large, so that

$$|w_{\sigma_j}(e^{i\theta})|^{n_j+\nu_j} / |\hat{N}_{\sigma_j}(e^{i\theta})| > 1 \quad \text{for all } j \text{ sufficiently large.}$$

Hence, the argument above shows that, for all  $j$  sufficiently large, there is an  $r(j)$  with  $0 < r(j) < 1$  such that  $|w_{\sigma_j}(r(j)e^{i\theta})|^{n_j+\nu_j} = |\hat{N}_{\sigma_j}(r(j)e^{i\theta})|$ , i.e.,  $r(j)e^{i\theta}$  lies on  $D_{\sigma_j, n_j+\nu_j}$ . This thus establishes

**Lemma 2.** *Under the hypothesis of (2.3) of Theorem 1, the arcs  $D_{\sigma_j, n_j+\nu_j}$  and  $E_{\sigma_j, n_j+\nu_j}$  of (2.8) and (2.9), are well-defined, for all  $j$  sufficiently large.*

### 5. Proof of Theorem 3

Under the hypothesis of (2.3) of Theorem 1, the sets  $D_{\sigma_j, n_j+\nu_j}$  and  $E_{\sigma_j, n_j+\nu_j}$  are well-defined from Lemma 2 for all  $j$  sufficiently large, say  $j \geq j_0$ . For  $j \geq j_0$ , let  $z$  be any zero of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$  in the unit disk and write  $z = re^{i\theta}$ . Then, let  $\hat{z} = \hat{r}e^{i\theta}$  be on the arc  $D_{\sigma_j, n_j+\nu_j}$  and, as before, set  $z - \hat{z} = se^{i\theta}$ , where  $|s|$  measures the distance between  $z$  and  $\hat{z}$ . Since  $z$  is a zero of  $R_{n_j, \nu_j}((n_j + \nu_j)z)$ , we have from (3.5) that

$$|w_{\sigma_j}(z)|^{n_j+\nu_j} = |\hat{N}_{\sigma_j}(z)| \cdot \left\{ 1 + O\left(\frac{1}{n_j + \nu_j}\right) \right\} \quad (j \rightarrow \infty),$$

or, in the notation of (4.1),

$$(5.1) \quad |U_{\sigma_j, n_j+\nu_j}(z)| = 1 + O\left(\frac{1}{n_j + \nu_j}\right), \quad (j \rightarrow \infty).$$

Similarly, as in the proof of Theorem 1 in Sect. 3, we expand  $U_{\sigma_j, n_j+\nu_j}(z)$  in a Taylor series about  $\hat{z}$ , i.e.,

$$U_{\sigma_j, n_j+\nu_j}(z) = U_{\sigma_j, n_j+\nu_j}(\hat{z}) + se^{i\theta} U'_{\sigma_j, n_j+\nu_j}(\hat{z}) + O(s^2).$$

Using the definition of  $U_{\sigma_j, n_j+\nu_j}$  in (4.1), along with the identities of (3.7) and (3.10) for the derivatives of  $w_{\tau}(z)$  and  $\hat{N}(z)$ , it can be verified that

$$(5.2) \quad \begin{aligned} & U_{\sigma_j, n_j+\nu_j}(z) \\ &= U_{\sigma_j, n_j+\nu_j}(\hat{z}) \cdot \left\{ 1 + se^{i\theta} \left[ \frac{(n_j + \nu_j)g_{\sigma_j}(\hat{z})}{\hat{z}} + \frac{1}{g_{\sigma_j}(\hat{z}) \cdot \hat{z}} \right] + O(s^2) \right\}. \end{aligned}$$

But since  $|U_{\sigma_j, n_j+\nu_j}(\hat{z})| = 1$ , it follows from (5.1) and (5.2), on taking moduli, that

$$(5.3) \quad 1 + \frac{s}{\hat{r}} \left[ (n_j + \nu_j) \operatorname{Re}(g_{\sigma_j}(\hat{z})) + \operatorname{Re} \left( \frac{1}{g_{\sigma_j}(\hat{z})} \right) \right] + O(s^2) = 1 + O \left( \frac{1}{n_j + \nu_j} \right).$$

As in Sect. 3, we know in general that  $\operatorname{Re}(g_\tau(z))$  and  $1/\operatorname{Re}(g_\tau(z))$  are uniformly bounded at all points of the unit disk not in  $\tilde{C}_{\delta,\tau}$ . In particular, for any zero  $z$  of  $R_{n_j,\nu_j}((n_j + \nu_j)z)$  in the unit disk not in  $\tilde{C}_{\delta,\sigma_j}$ , we see from (5.3) that

$$\frac{s}{\hat{r}} = O \left( \frac{1}{(n_j + \nu_j)^2} \right) \quad (j \rightarrow \infty).$$

But since the arc  $D_{\sigma_j,n_j+\nu_j}$  cannot pass through 0 and since  $\hat{r}$  is bounded above by unity, then

$$s = O \left( \frac{1}{(n_j + \nu_j)^2} \right),$$

for any zero  $z$  of  $R_{n_j,\nu_j}((n_j + \nu_j)z)$  not in  $\tilde{C}_{\delta,\sigma_j}$ , as  $j \rightarrow \infty$ . Again, as  $|s|$  measures the distance from  $z$  to a particular point,  $\hat{z}$ , of  $D_{\sigma_j,n_j+\nu_j}$ , then  $\operatorname{dist}[z; D_{\sigma_j,n_j+\nu_j}] \leq |s|$  for any zero of  $R_{n_j,\nu_j}((n_j + \nu_j)z)$ , in the unit disk not in  $\tilde{C}_{\delta,\sigma_j}$ , and it follows that

$$\operatorname{dist}[\{z_{n_j,\nu_j}(k)\}_{k=1}^{n_j} \setminus \tilde{C}_{\delta,\sigma_j}; D_{\sigma_j,n_j+\nu_j}] = O \left( \frac{1}{(n_j + \nu_j)^2} \right) \quad (j \rightarrow \infty),$$

which is the desired result of (2.10) of Theorem 3.  $\square$

## 6. Final comments

The results of the previous sections deal with the convergence of the zeros (and poles) of the Padé approximants  $\{R_{n_j,\nu_j}((n_j + \nu_j)z)\}_{j=1}^{\infty}$  in relationship to the arcs  $D_{\sigma_j,\infty}$  and  $D_{\sigma_j,n_j+\nu_j}$  outside of the disks  $\tilde{C}_{\delta,\sigma_j}$ . It is thus natural to ask *what* the convergence rate of these zeros is in the neighborhood of the points  $z_{\sigma_j}^{\pm}$ , which are explicitly *excluded* in the results of (2.4) of Theorem 1 and (2.10) of Theorem 3. It turns out that, on applying a result of [9, eq.(1.9)], we also have the following result:

**Theorem 4.** *Under the hypothesis of (2.3) of Theorem 1, the Padé approximant  $R_{n_j,\nu_j}((n_j + \nu_j)z)$  has zeros and poles of the form*

$$(6.1) \quad z_{\sigma_j}^{\pm} + O \left( \frac{1}{(n_j + \nu_j)^{2/3}} \right) \quad (j \rightarrow \infty).$$

The importance of Theorem 4 lies in the fact that (6.1) is valid for *any*  $\sigma$  with  $0 < \sigma < \infty$ , and Theorem 4 shows that there is a substantially *slower* convergence of the zeros and poles of  $R_{n_j,\nu_j}((n_j + \nu_j)z)$  to  $D_{\sigma_j,\infty}$  and  $E_{\sigma_j,\infty}$  in neighborhoods of the branch points,  $z_{\sigma}^{\pm}$ , of  $g_{\sigma}(z)$ , which are exactly the points which have been *excluded* with our use of the disks  $\tilde{C}_{\delta,\sigma}$  of (2.2). In this sense, (6.1) of Theorem 4 is the analog of result (1.2) of Buckholtz [2]. We conjecture that the results of (6.1) are best possible!

Next, we remark that a careful examination shows that the arcs  $D_{1,\infty}$  and  $E_{1,\infty}$  in Fig. 3 make an angle of  $2\pi/3$  as they meet at the points  $\pm i$ . This has been theoretically established in Olver [7, p.336, Fig. 3] for the special case  $\sigma = 1$ , and we remark that



Table 1.

$k$	$\arg(z_{16,24}(k))$	$ z_{16,24}(k) $	$ \hat{z}_{16,24}(k) $
1	114.677512621653575	0.924518801046913	0.923788102974373
2	125.542379165422707	0.864754514607011	0.864321571680979
3	134.974717991547015	0.825049238737003	0.824715883665449
4	143.729355307749157	0.796647826203171	0.796364645259408
5	152.091849890036330	0.776141233642082	0.775887263220768
6	160.211234669319259	0.761805526883314	0.761569325056279
7	168.181077469080952	0.752680657788123	0.752454813408059
8	176.069064193694423	0.748237220620139	0.748016178753669

this *same* angle appears also in Figs. 2 and 4. We similarly conjecture that this same angle will appear in *all* cases where  $0 < \sigma < \infty$ , because of the fact that (6.1) of Theorem 4 is valid for all  $0 < \sigma < \infty$ .

Finally, because the results of Figs. 5–7 are almost too good to believe, we consider again, as in Fig. 5, the actual zeros  $\{z_{16,24}(k)\}_{k=1}^8$  of  $R_{16,24}(40z)$  in the upper half-plane, ordered by increasing argument. In columns 2 and 3 of Table 1 above, we give respectively the arguments and moduli of these eight zeros. Then,  $\hat{z}_{16,24}(k)$  is defined (as in the proof of Theorem 3 in Sect. 5) as the point on the arc  $D_{3/2,40}$  having the *same* argument as  $z_{16,24}(k)$ , and the last column of Table 1 gives the corresponding moduli of the points  $\{\hat{z}_{16,24}(k)\}_{k=1}^8$ . The differences of these corresponding moduli in columns 3 and 4 vary between  $7.3 \cdot 10^{-4}$  and  $2.2 \cdot 10^{-4}$ , which is why, up to plotting accuracy, the zeros of  $R_{16,24}(40z)$  appear to lie on the arc  $D_{3/2,40}$ !

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